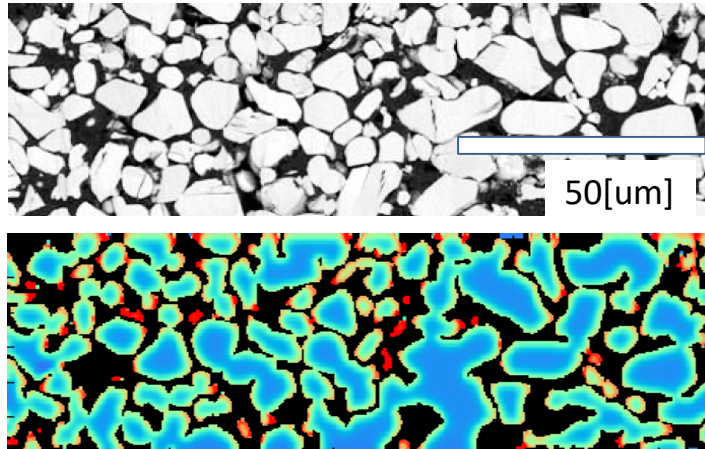


ver. 2020-8



**KOBELCO**  
KOBELCO STEEL GROUP

# Battery Simulation Using COMSOL Multiphysics

Kobelco Research Institute Inc.



**From analysis, testing, and measurement to manufacturing and related testing, Kobelco Research supports customer businesses through a variety of approaches.**

## Kobelco Research Institute Inc.

Affiliated by KOBELCO STEEL, LTD

Business: Analysis, testing, prototyping, supporting R&D

Employees: 1366 (including 58 Ph.Ds)

Headquarters: Kobe, Japan



### Analysis



**Support for solving R&D and manufacturing problems in all types of industries**

The analytical and technical strength of Kobelco Research provides support for research, development, and manufacturing problems in all types of industries addressing materials, organic, environmental, and physical analysis.

### Testing



**Support for R&D work as industry structures change**

As industry structures change, demand for new corrosion-resistant materials and surface treatment technologies is increasing. When new technology development in these areas is needed, the research and development work itself can be performed on a contract basis.

### Prototype / Experiments



**Support for new product and new process R&D, including ferrous and non-ferrous materials**

Services performed include clean room, soil, and other environmental testing, materials-related stress measurement, and non-destructive testing (detection and evaluation of surface and internal defects in materials and structures, integrity and quality evaluation, and detection and evaluation of materials damage and degradation).

### Manufacturing



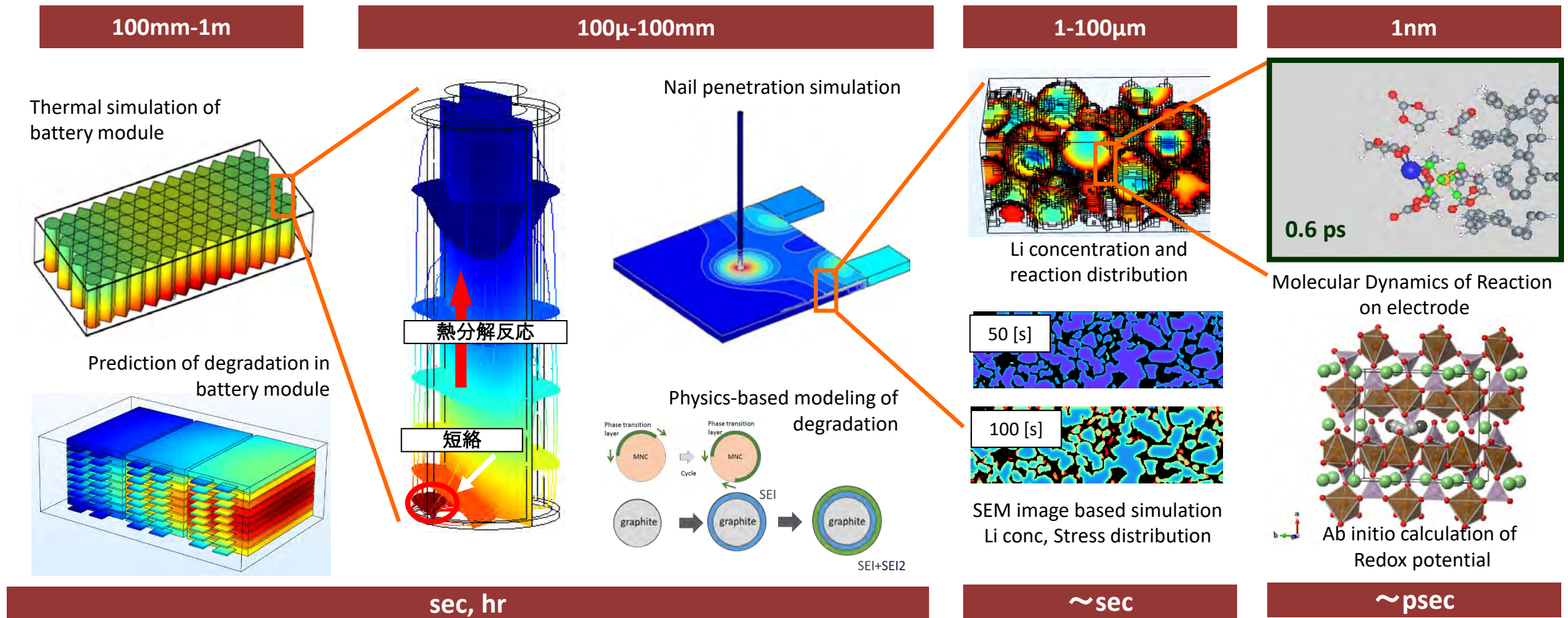
**Manufacturing semiconductor-related materials, testing systems, medical materials and PV-related parts**

We manufacture and market

- Sputtering target materials
- Semiconductor testing systems
- Co-Cr tubes for stent
- Metallic products coated with carbon-based thin film
- Screen for PV cell



# Simulation Technologies for Li-ion battery



Safety evaluation

Operation condition

Degradation prediction

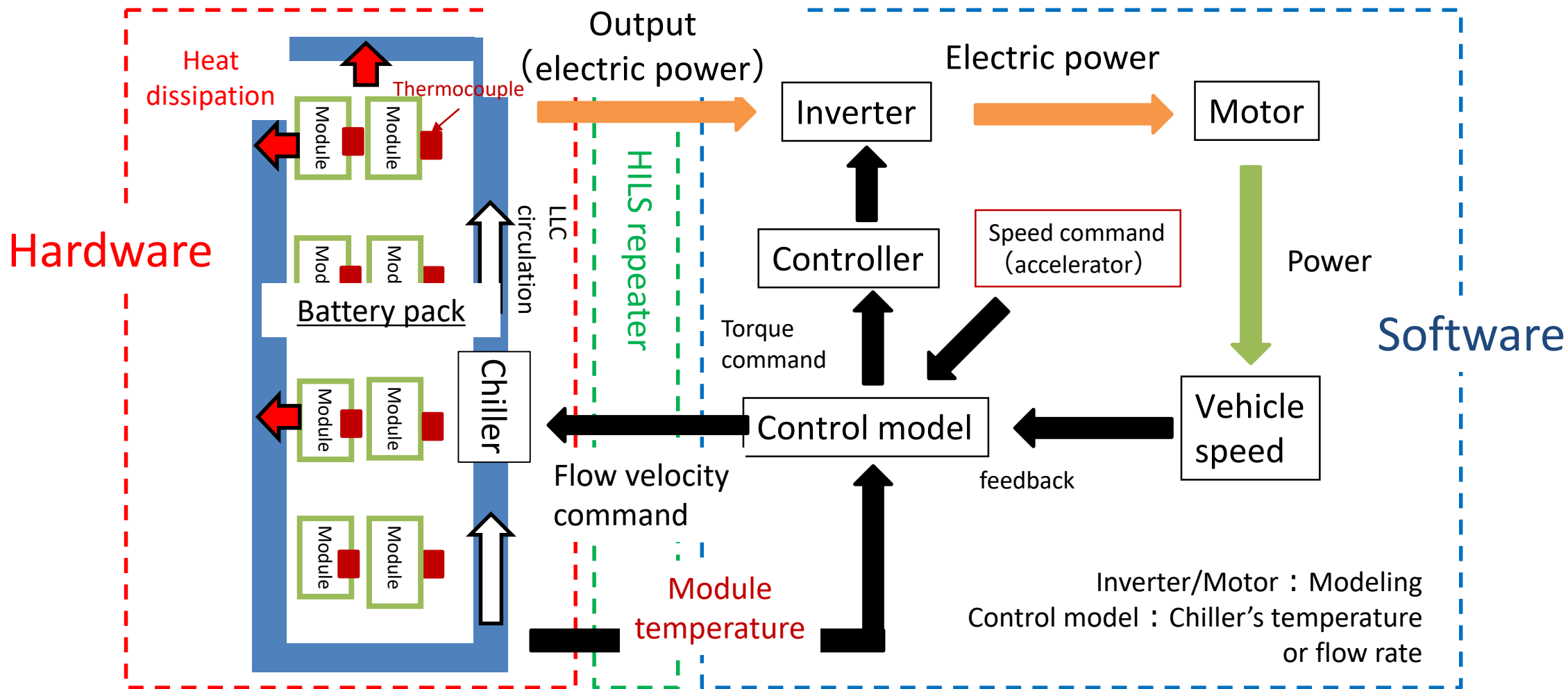
Optimization  
Inverse problem

Materials Informatics

**Machine Learning, Data analysis**

- ☐ Equivalent Circuit Model for Battery Management System
- ☐ Physico-chemical Simulation using FIB-SEM image
- ☐ Battery Safety Simulations, Nail penetration test, Burning test
- ☐ Battery Degradation Simulation
- ☐ Machine Learning, Deep Learning

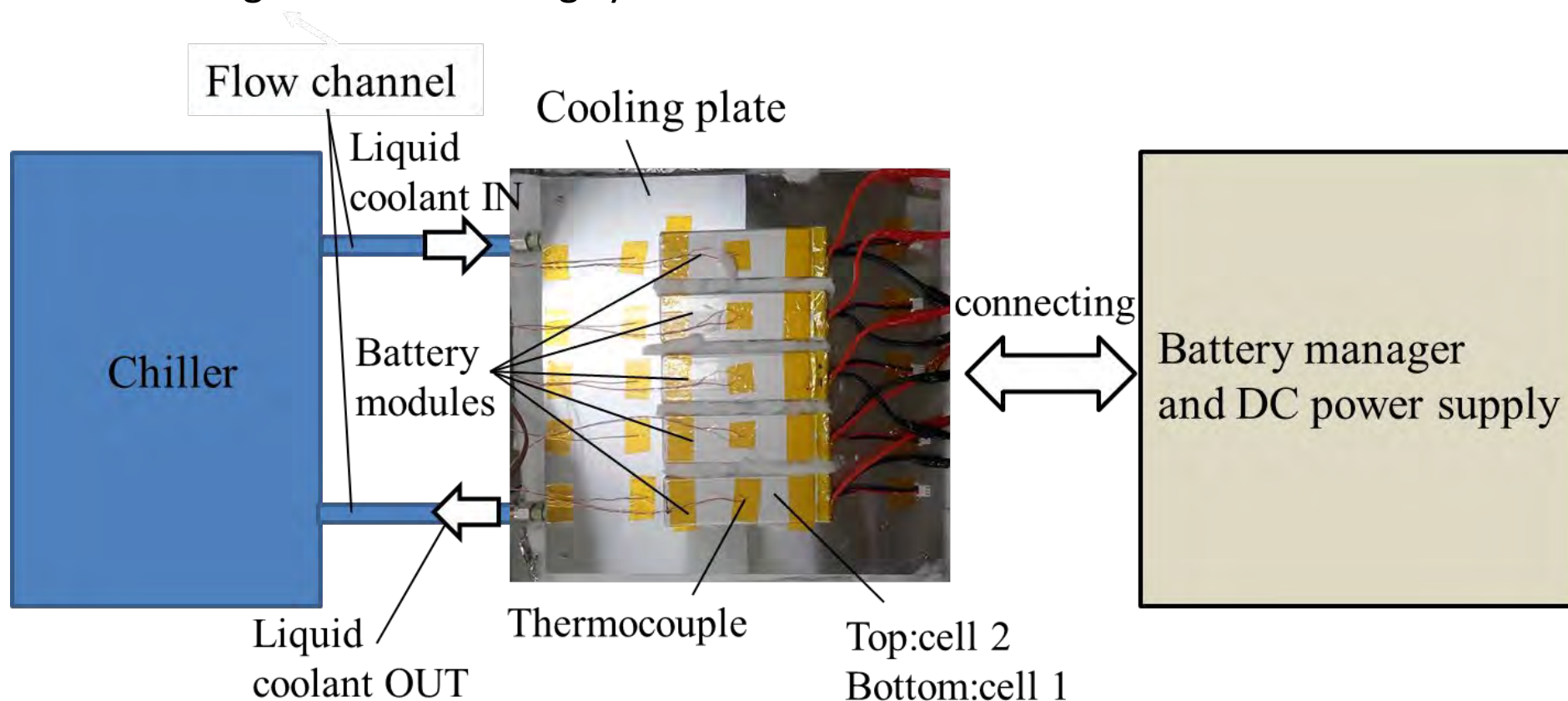
- Equivalent Circuit Model for Battery Management System
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- Battery Degradation Simulation
- Machine Learning, Deep Learning



- ✓ Reproduce the load on the battery under the assumed driving conditions.
- ✓ The control algorithm of the battery cooling device can be examined.

# Equivalent Circuit Model for Battery Management System

- ✓ Construction of an equivalent circuit model for battery management systems is shown.
- ✓ Test bench assuming an actual cooling system is constructed.



## Test conditions

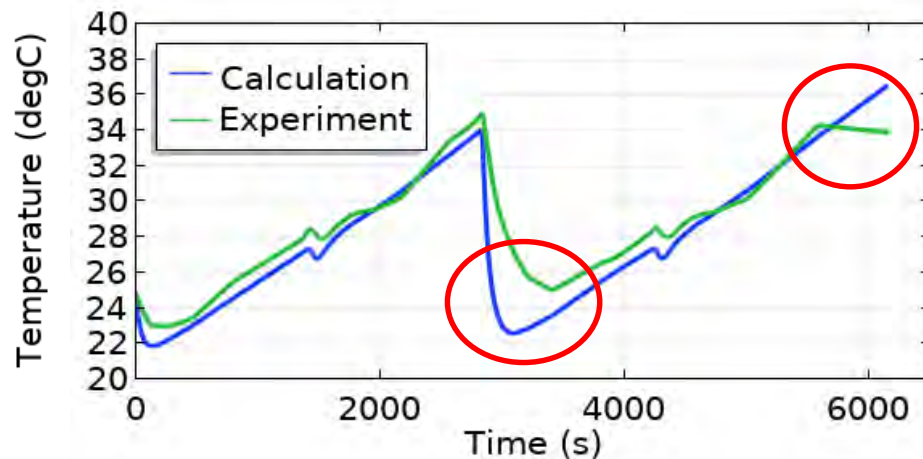
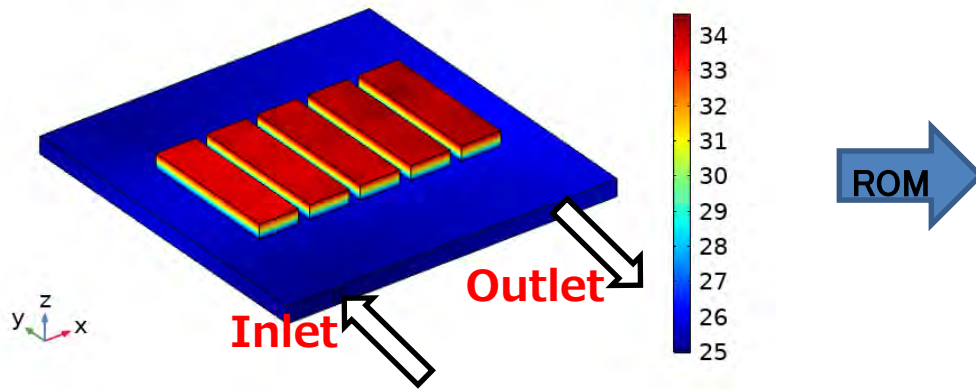
- 2 current rate CC charge / discharge  $\times$  3 cycle without the flow
- 2 current rate CC charge / discharge  $\times$  3 cycle with the flow 1.0 l/min



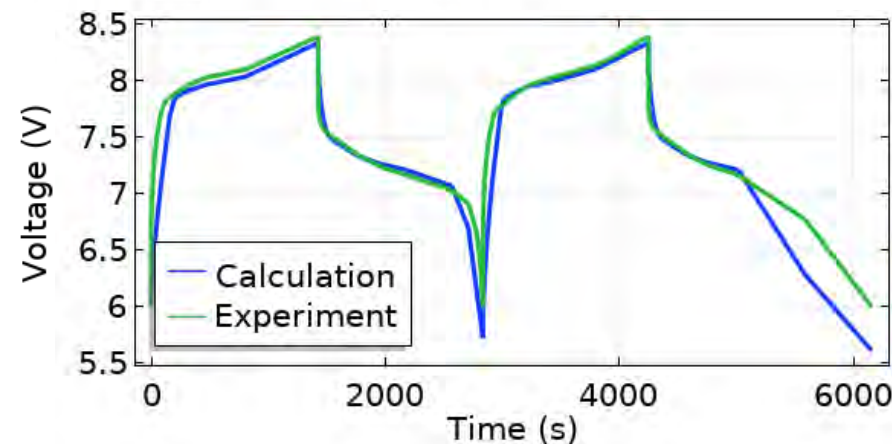
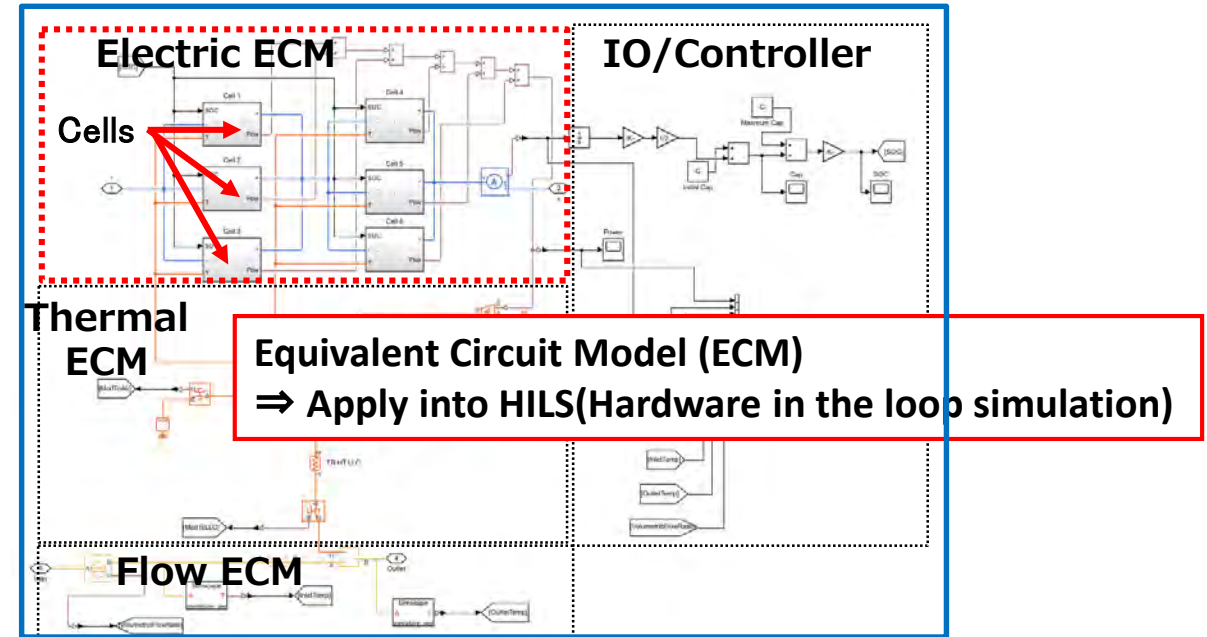
## Real-time simulation with equivalent circuit models

T. Yamanaka *et al.*, Batteries, **2020**, 6(3), 44.

### 3 D thermal model



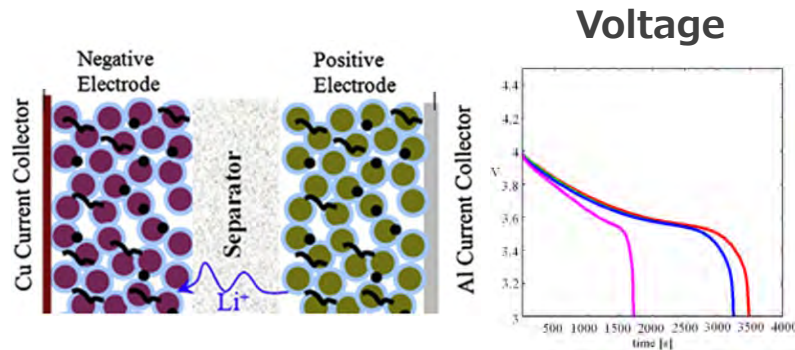
Comparison for time histories of temperature



Comparison for time histories of voltage

- Equivalent Circuit Model for Battery Management System
- Physico-chemical Simulation using FIB-SEM image
- Battery Safety Simulations, Nail penetration test, Burning test
- Battery Degradation Simulation
- Machine Learning, Deep Learning

## Conventional: 1-D Newman model



S.J. Harris et al. / Chemical Physics Letters 485 (2010) 265.

## Physico-chemical model

Electrode potential:  
Poisson Equation

$$\mathbf{i}_s = -\sigma_s \nabla \phi_s$$

Li conc. in AP

$$\frac{\partial c_s}{\partial t} = \nabla \cdot (-D_s \nabla c_s)$$

Electrolyte potential:  
Nernst-Plank Equation

$$\nabla \cdot \left( -\sigma_l \nabla \phi_l + \frac{2\sigma_l RT}{F} \left( 1 + \frac{\partial \ln f}{\partial \ln c_l} \right) \right) = i_{\text{tot}}$$

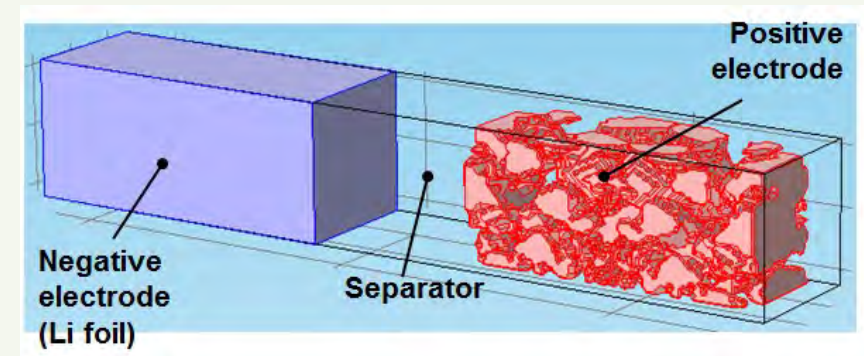
Electrochemical reaction:  
Butler-Volmer Equation

$$i_{\text{loc}} = i_0 \left( \exp \left( \frac{\alpha_a F \eta}{RT} \right) - \exp \left( \frac{-\alpha_c F \eta}{RT} \right) \right)$$

## Quasi-3D modeling using FIB-SEM image ( $\sim 100[\mu\text{m}]$ )



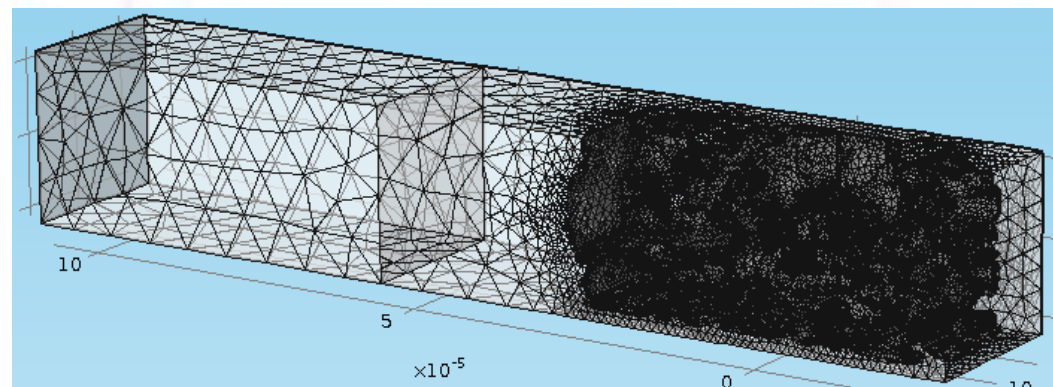
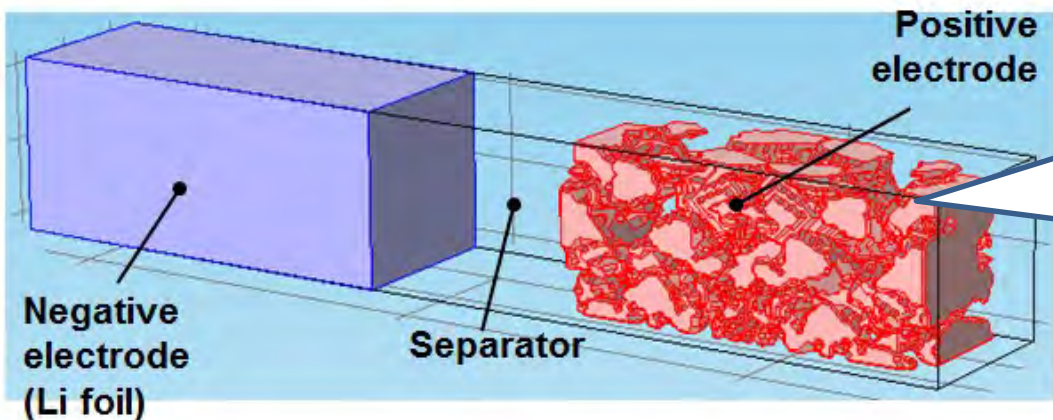
## Multiphysics Simulation based on 3D microstructure ( $\sim 50[\mu\text{m}]$ )





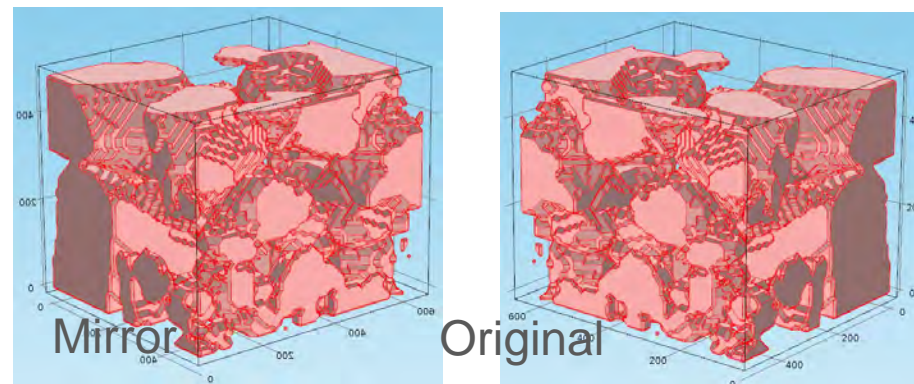
## Charge/discharge FEM simulation based on 3D microstructure

### Model geometry



Tetra mesh 1million elements

### Positive electrode structure



Thickness, positive electrode: 50 [ $\mu\text{m}$ ]

Thickness, negative electrode (Li foil): 50 [ $\mu\text{m}$ ]

Thickness, separator: 25 [ $\mu\text{m}$ ]

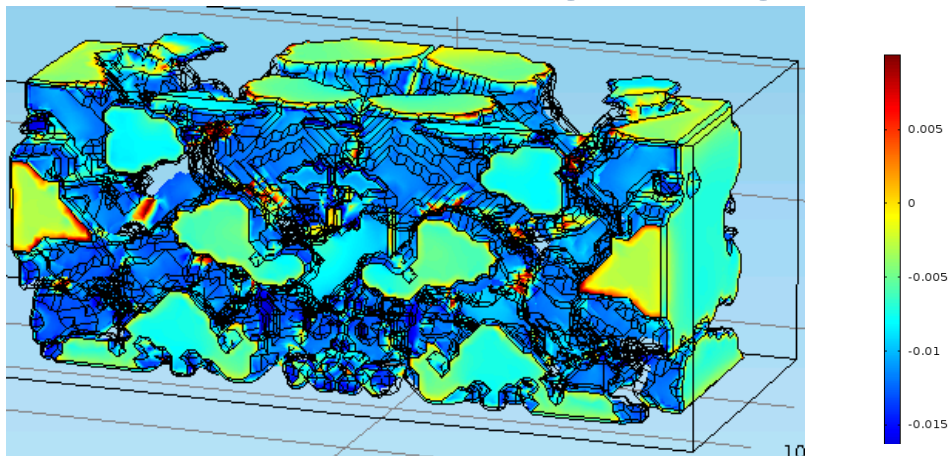
OCP, positive: Measurement (NMC1/3)

Reaction rate coefficient:  $1.0\text{e-}11$  (assumed)

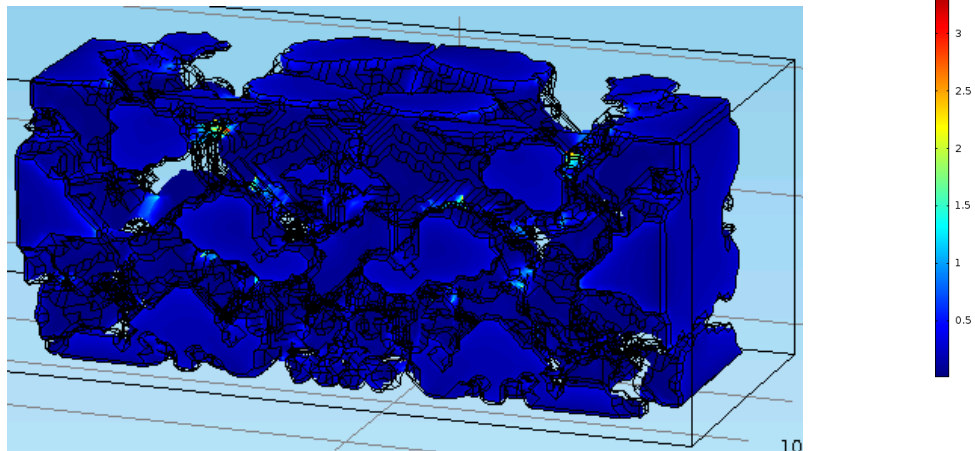
Li diffusion coefficient:  $1.0\text{e-}14$  (assumed)

## Charge/discharge FEM simulation based on 3D microstructure

Strain distribution during discharge



Mises stress distribution



Stress balance

$$\sigma = C(\varepsilon - \varepsilon_L)$$

Young's modulus: 70 [GPa] \*1

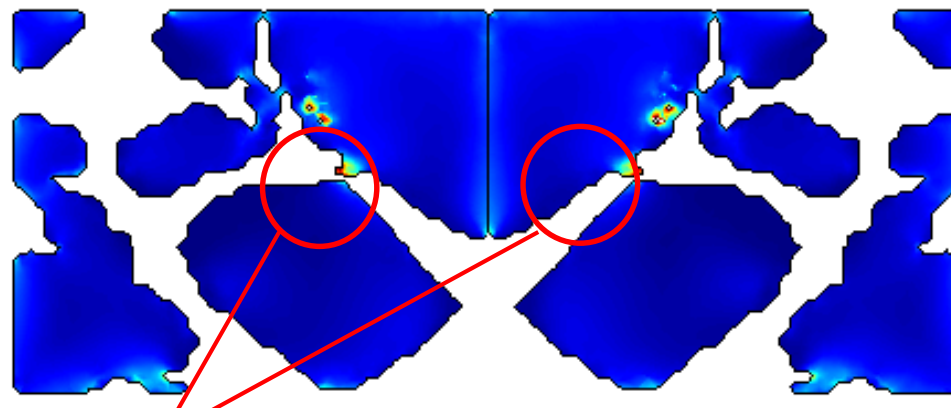
Eigen strain

$$\varepsilon_L = \frac{\varepsilon_{\max}}{C_{\max}} c$$

Poisson ratio: 0.3 (assumed)

Volume change coefficient: 0.03 \*2

Slice image of positive electrode

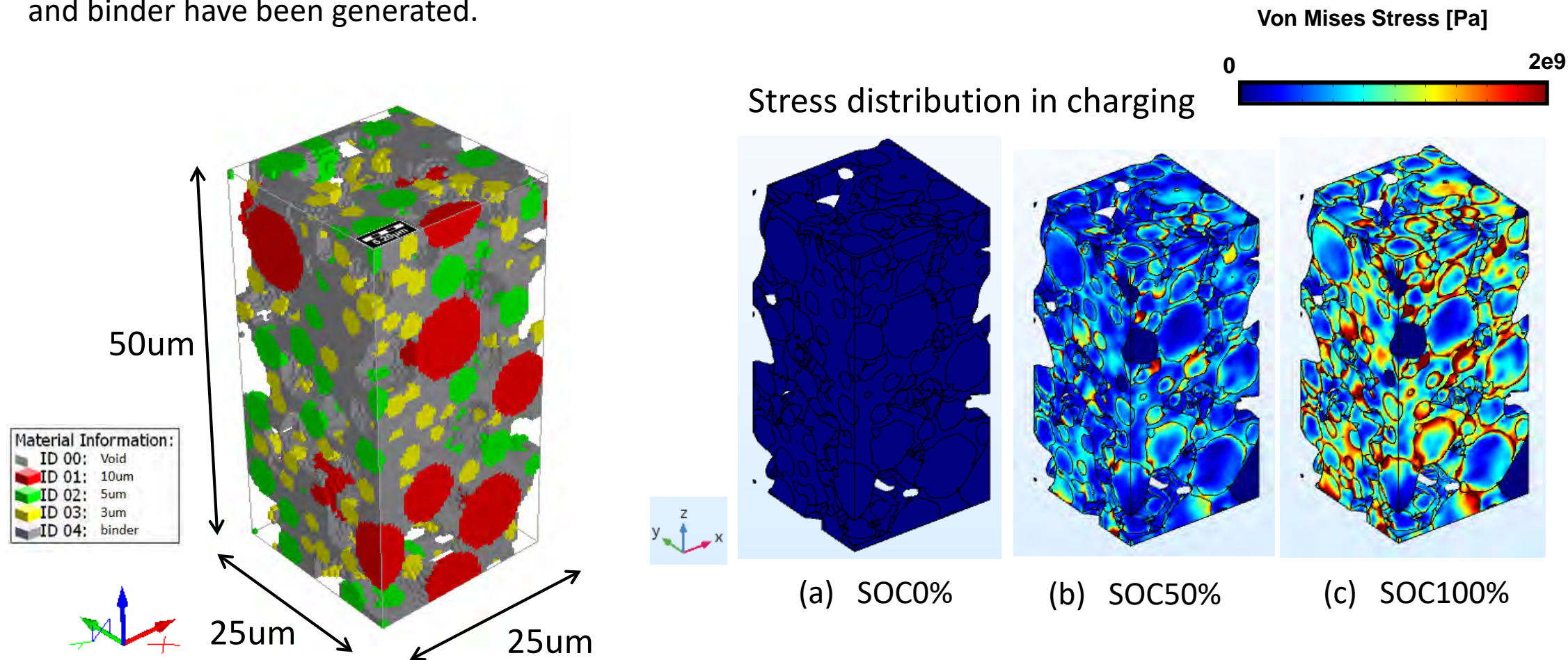


High stress on the edge of active material



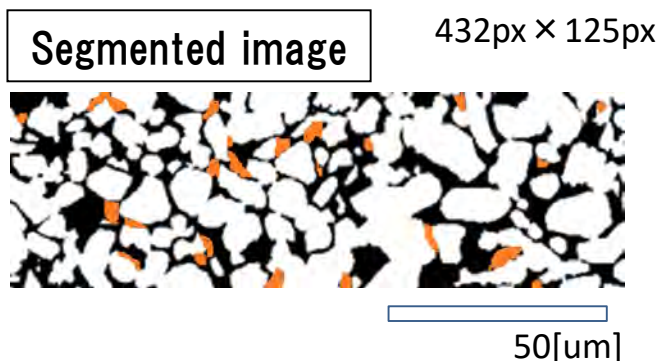
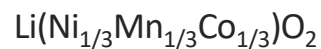
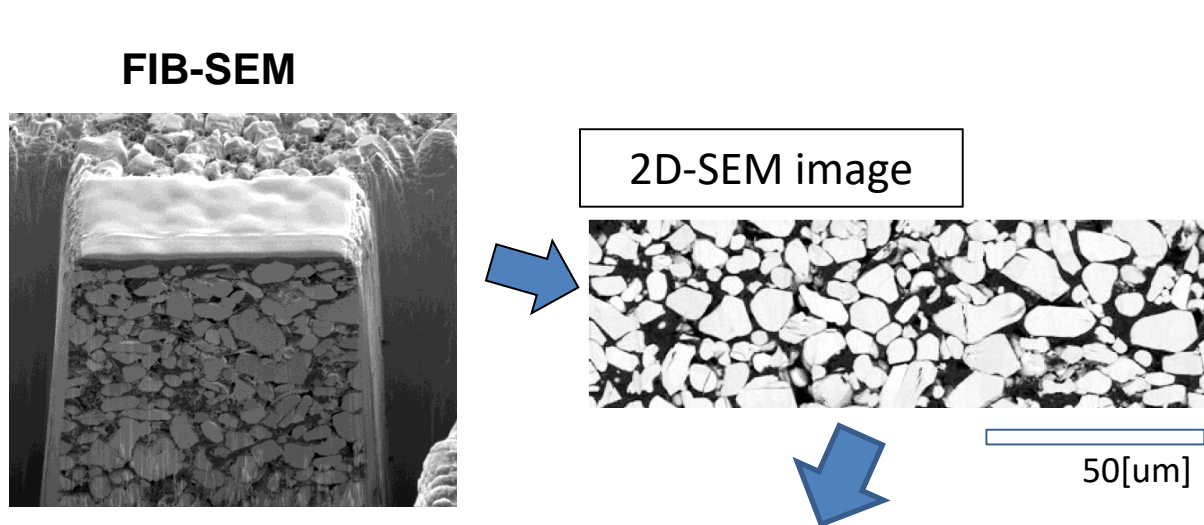
## Charge/discharge FEM simulation: Virtual microstructure

virtual electrode structures composed of random packed sphere particles and binder have been generated.

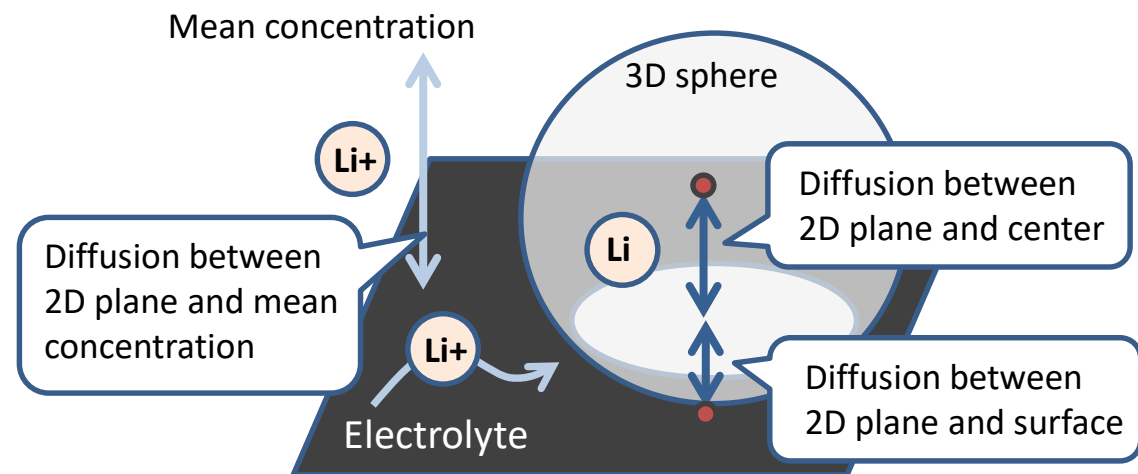


## “Quasi-3D” model with a FIB-SEM image

“Quasi-3D” model has been applied to a FIB-SEM image of typical positive electrode



- Active material
- Electrolyte
- Binder/Additives



### Diffusion resistance

Li diffusion in solid phase : **Correction term**

$$\frac{\partial c_s}{\partial t} = \nabla \cdot (-D_s \nabla c_s) + \frac{q_{surf} - q_{center}}{r}$$

$c_s$ : Li concentration  
 $D_s$ : Diffusion coefficient

### Electrolyte resistance

Electric potential of liquid phase :

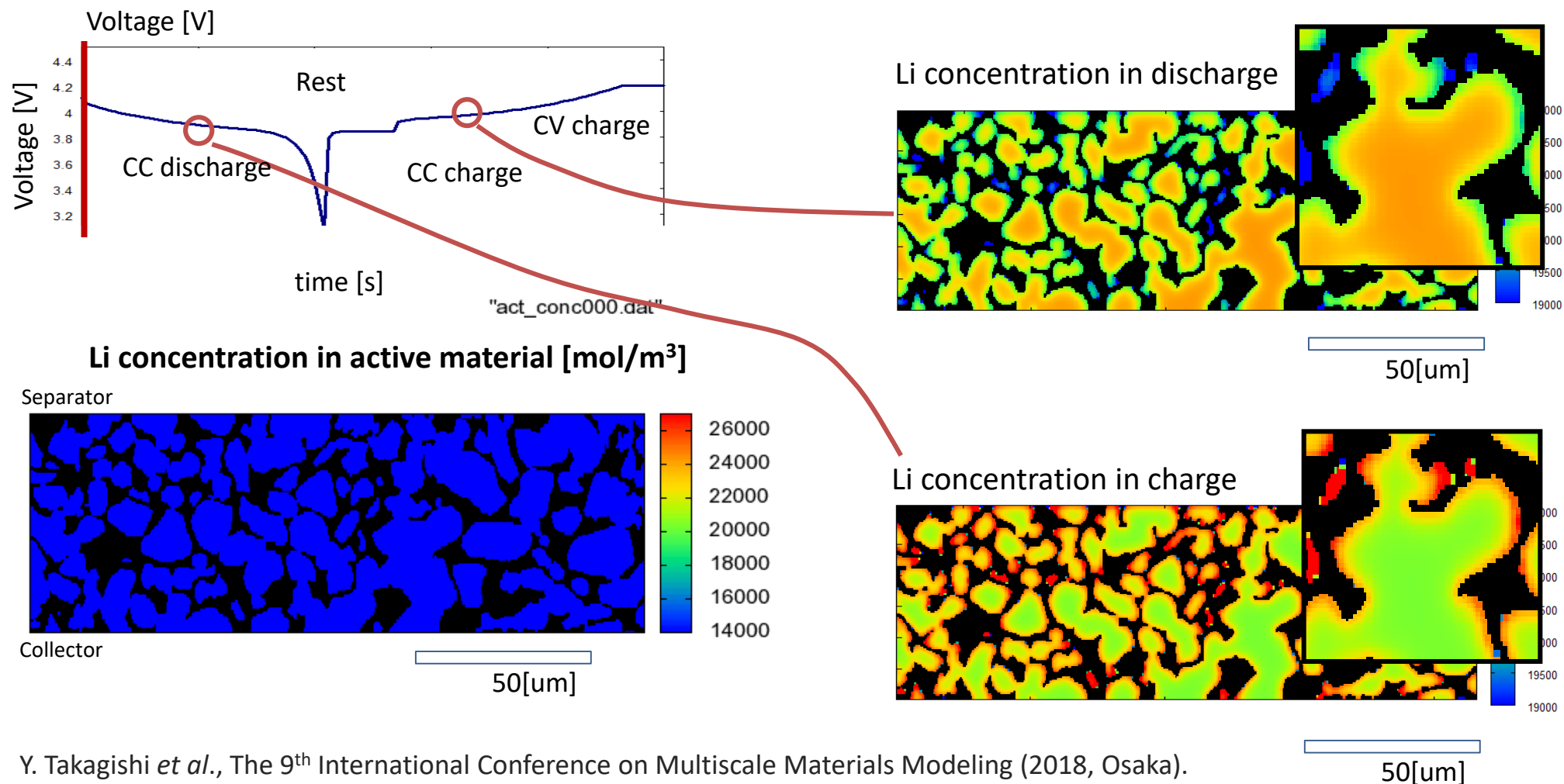
$$\nabla \cdot \left[ -\sigma_l \nabla \phi_l + \frac{2\sigma_l RT}{F} (1-t_+) \nabla \ln c_l \right] = i_{tot}$$

Mass balance :

$$\frac{\partial c_l}{\partial t} = \nabla \cdot (-D_l \nabla c_l) + D_L \frac{\bar{c}_z - c_L}{l^2}$$

**Correction term**

## Concentration of Li in active material



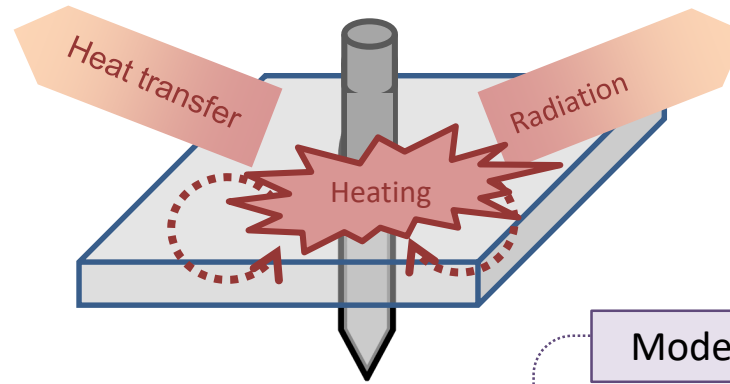
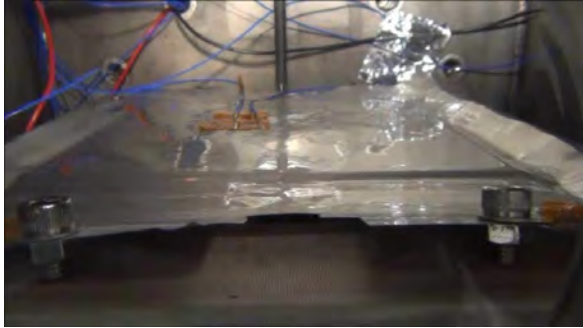
Y. Takagishi *et al.*, The 9<sup>th</sup> International Conference on Multiscale Materials Modeling (2018, Osaka).

Y. Takagishi *et al.*, Journal of Applied Electrochemistry, *submitted*.

- Equivalent Circuit Model for Battery Management System
- Physico-chemical Simulation using FIB-SEM image
- **Battery Safety Simulations, Nail penetration test, Burning test**
- Battery Degradation Simulation
- Machine Learning, Deep Learning



## Nail penetration test



### Electrochemical reaction heating

Joule heating

$$Q_{Joule} = i_j \nabla \phi_j$$

Reaction heating

$$Q_{reac} = i \left( \phi_s - \phi_l - E_{eq} + T \frac{\partial E_{eq}}{\partial T} \right)$$

### Physico-chemical model

Electrode potential:  
Poisson Equation

$$\mathbf{i}_s = -\sigma_s \nabla \phi_s$$

Electrolyte potential:  
Nernst-Planck Equation

$$\frac{\partial c_s}{\partial t} = \nabla \cdot (-D_s \nabla c_s)$$

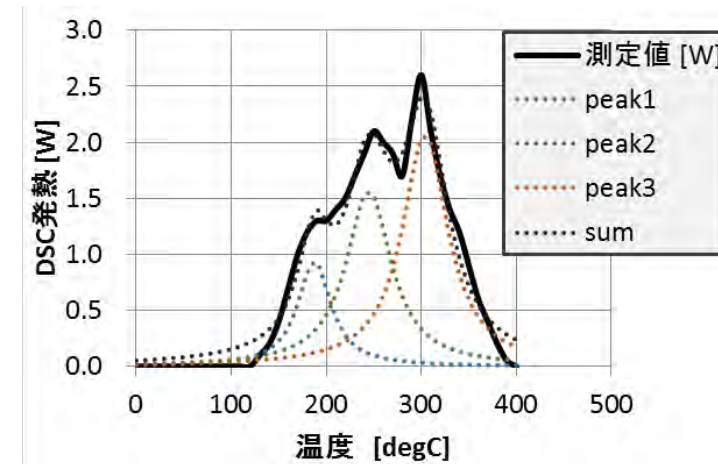
Li conc. in AP

$$i_{loc} = i_0 \left( \exp\left(\frac{\alpha_a F \eta}{RT}\right) - \exp\left(\frac{-\alpha_c F \eta}{RT}\right) \right)$$

Electrochemical reaction:  
Butler-Volmer Equation

$$\nabla \cdot \left( -\sigma_l \nabla \phi_l + \frac{2\sigma_l RT}{F} \left( 1 + \frac{\partial \ln f}{\partial \ln c_l} \right) (1 - t_+) \nabla \ln c_l \right) = i_{tot}$$

### Modeling of thermal decomposition based on DSC test

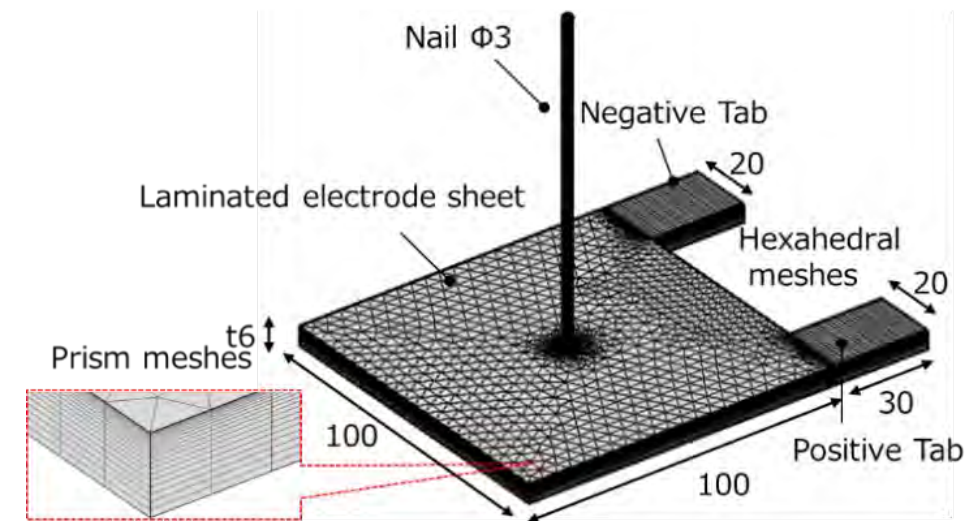
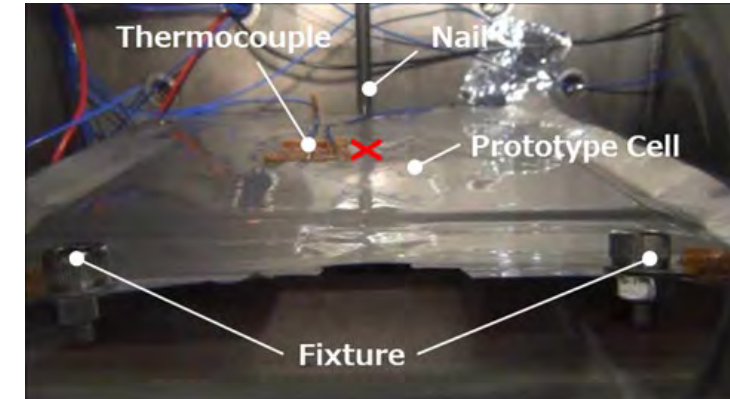
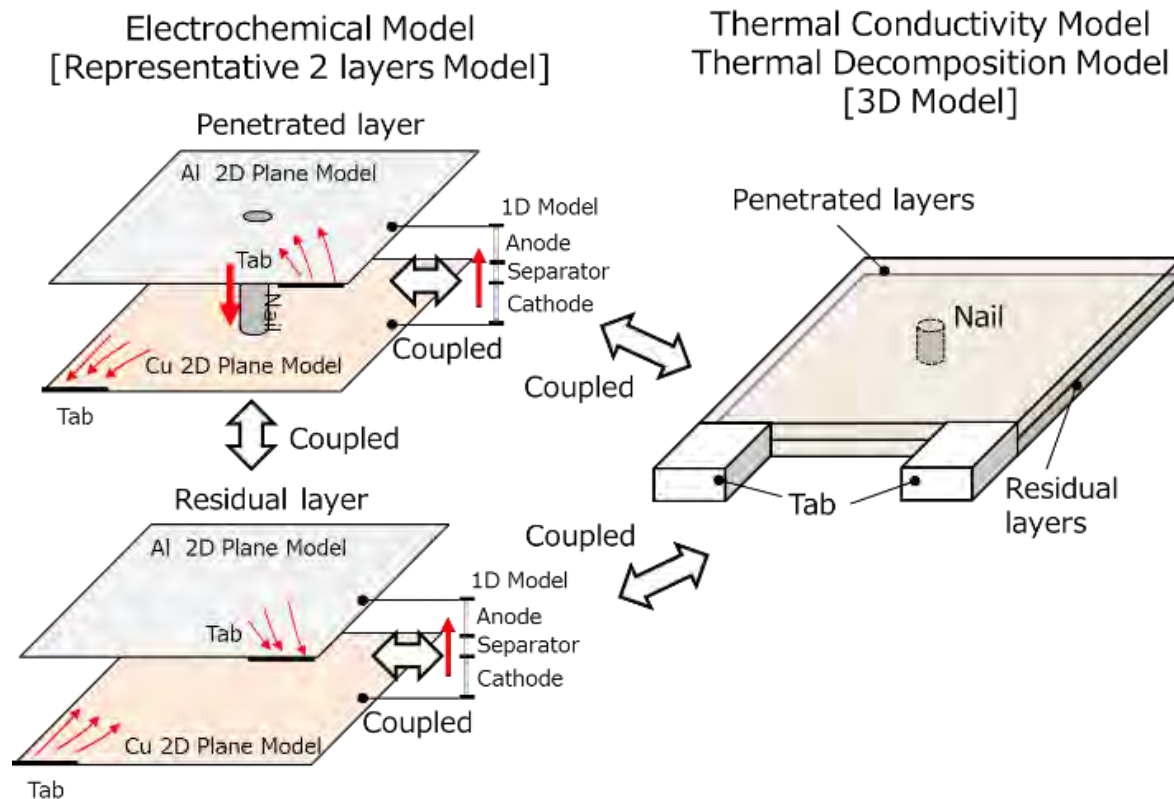


$$k = \gamma \exp\left(-\frac{E_a}{RT}\right) x^n (1-x)^m (-\ln x)^p$$



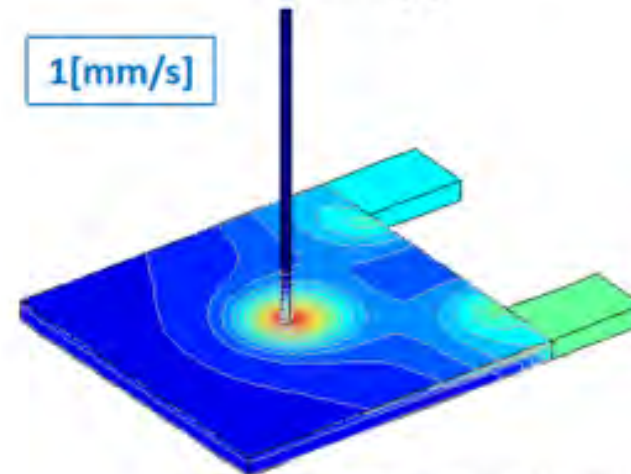
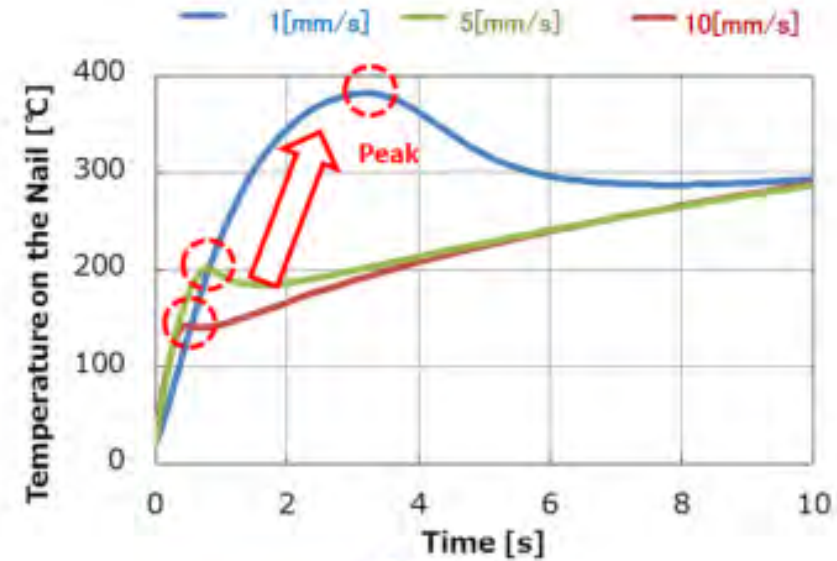
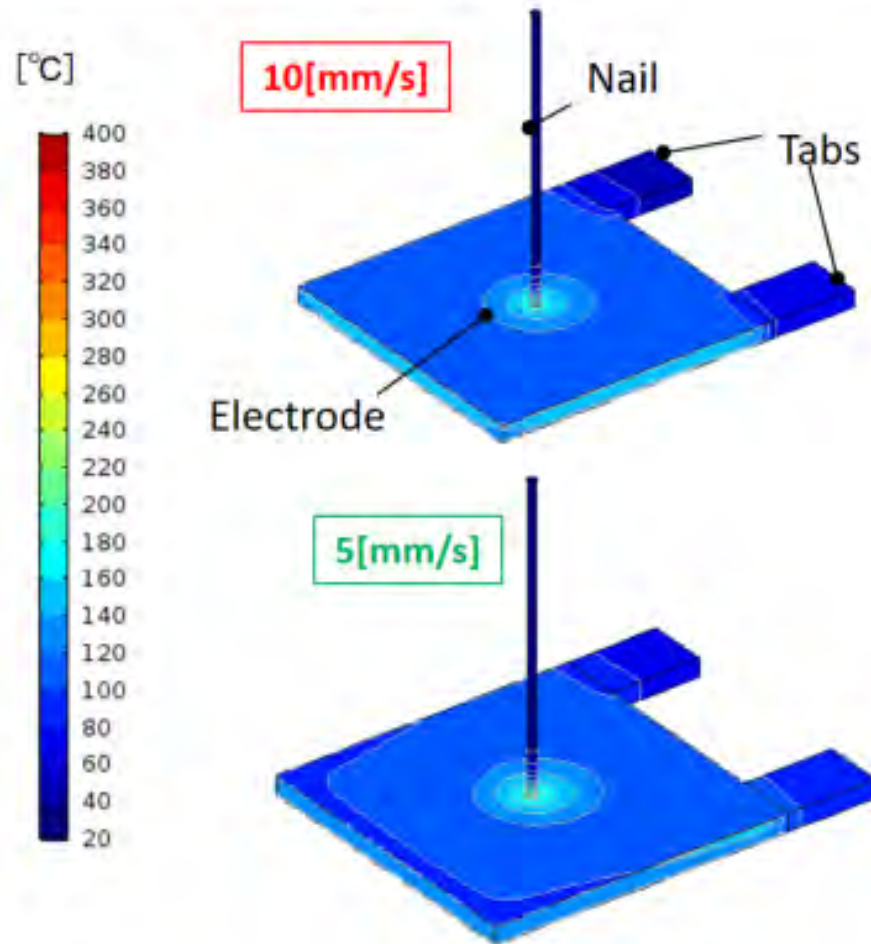
## “Tri-bred model”

The 1D-2D-3D coupled model taking into account of migration of the nail and thermal decomposition reaction.



## “Tri-bred model”

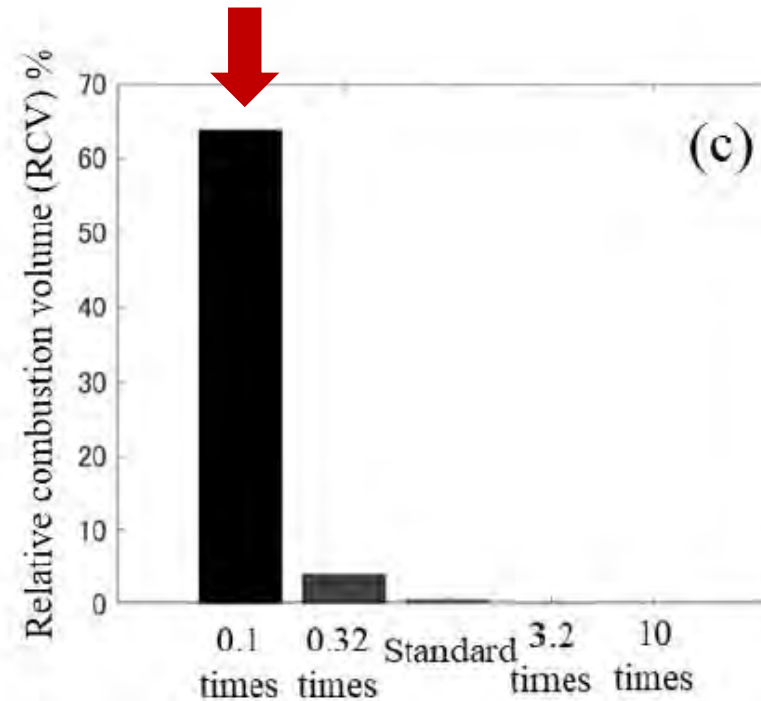
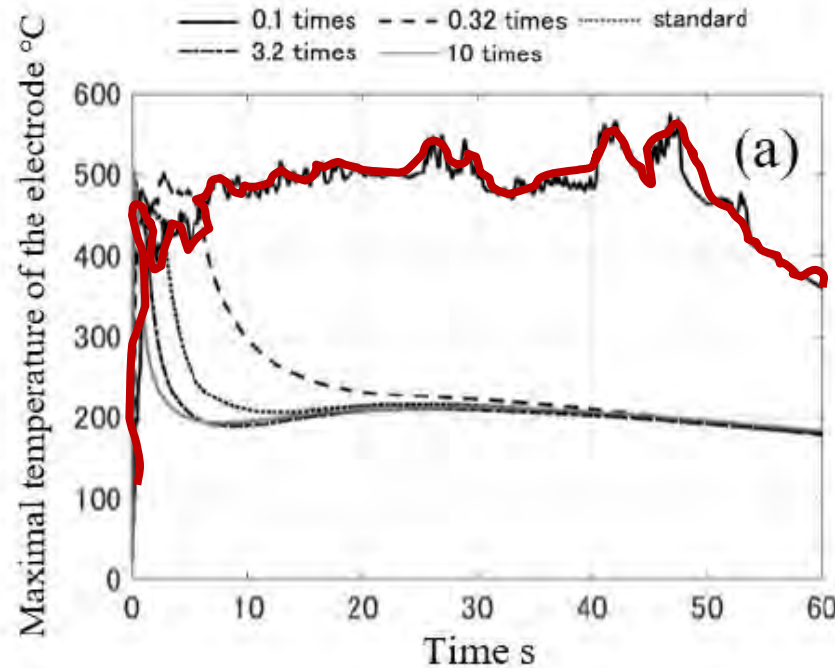
### The effect of Nail speed



T. Yamanaka et al., Journal of Power Sources, 416:132-140.

## “Tri-bred model”

### The effect of Nail position

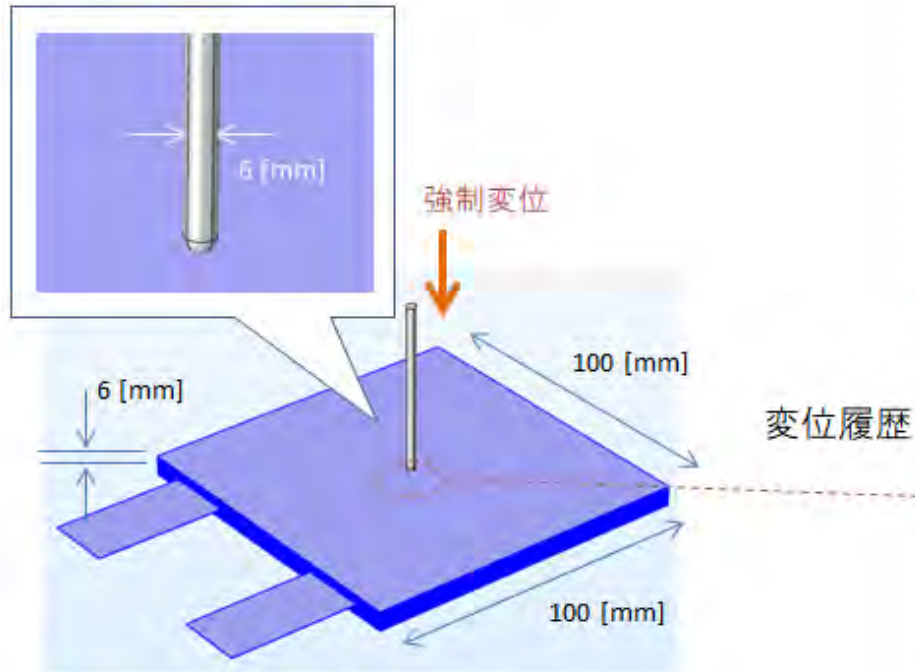


When the nail speed 0.1[ mm/s], RCV is 100 times higher than those of standard condition,  
And the total time spent in excess of 300 °C is longer.  
The maximal temperature is 83 °C higher than the standard condition.



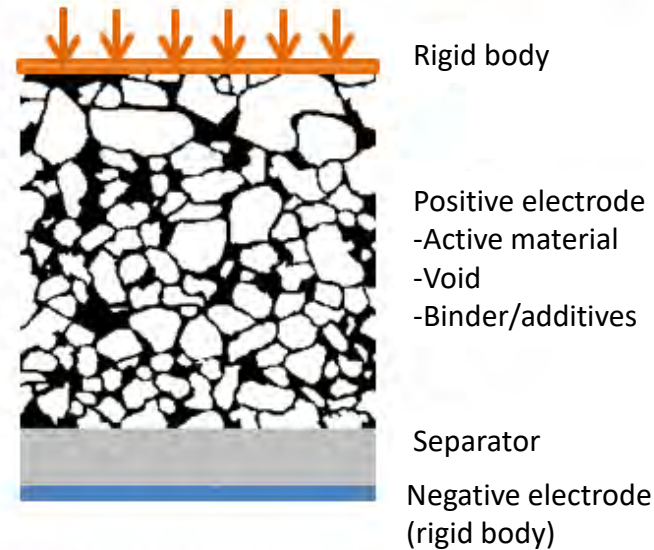
## Multi-scale modeling of indentation test

### Macro-scale simulation (Stress simulation using FEM)

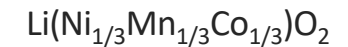
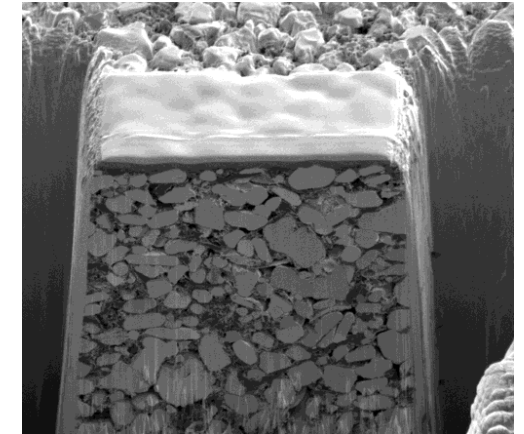


### Micro-scale simulation (Deformation using voxel)

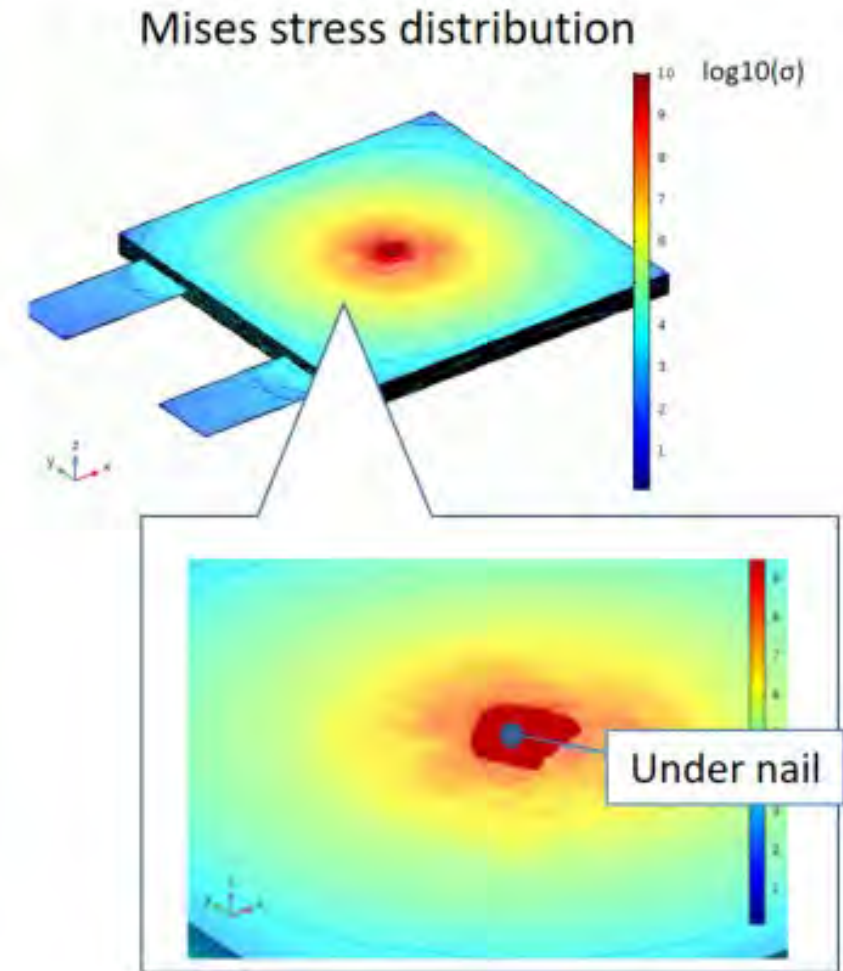
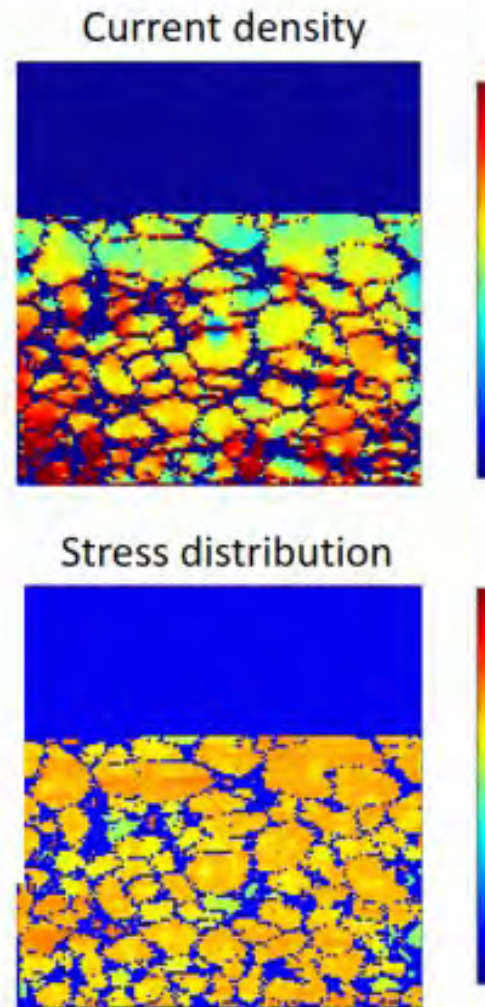
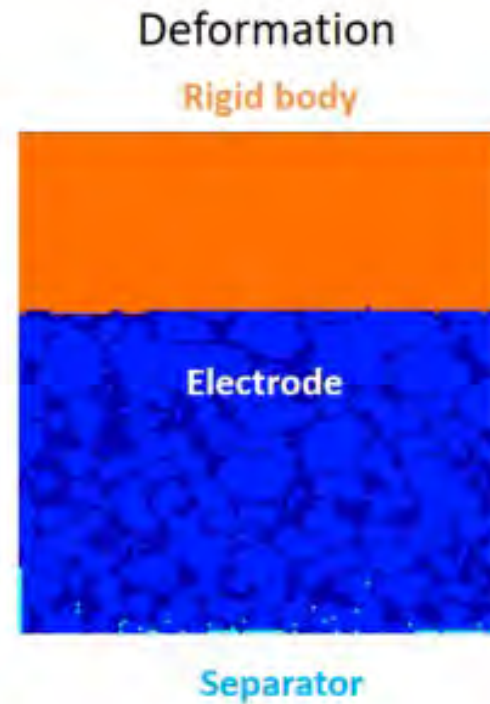
- Spring-dumper model
- Current conservation
- Energy balance
- No phase change, no gas generation



### FIB-SEM



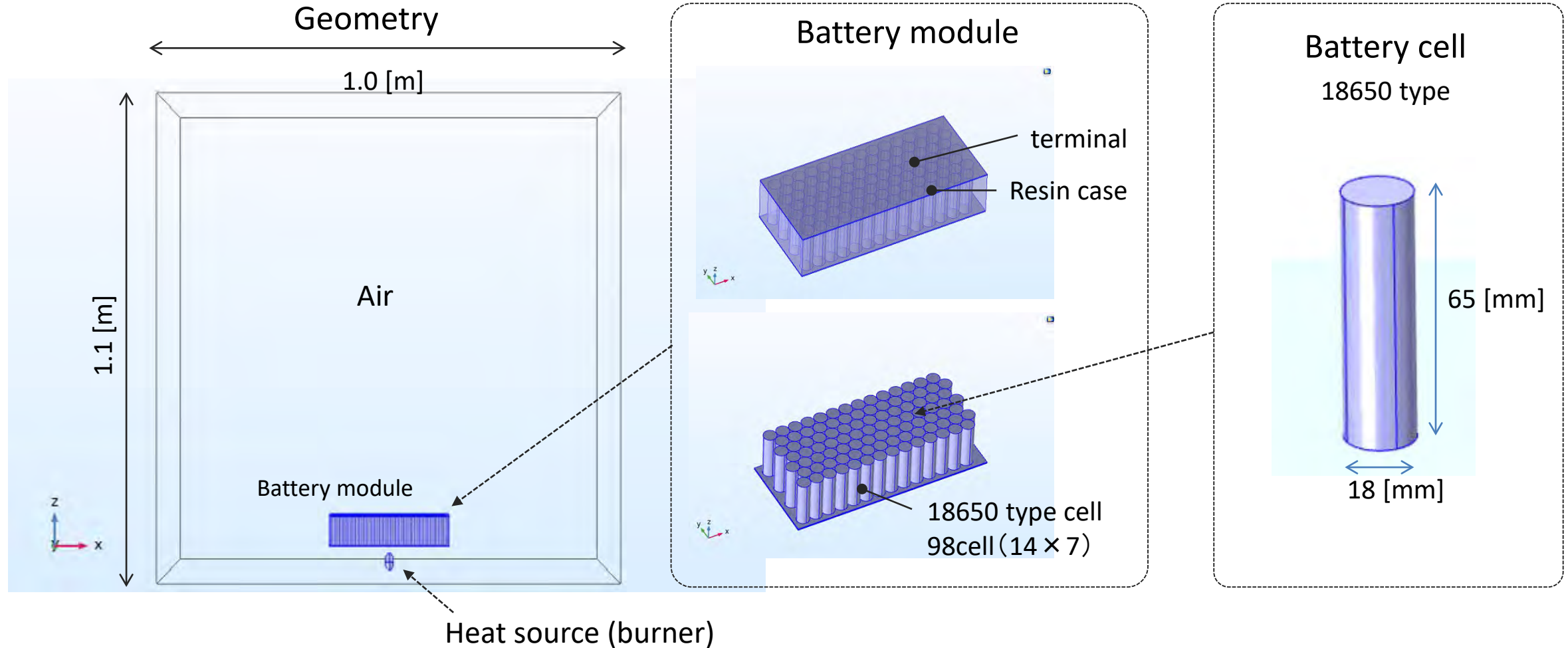
## Multi-scale modeling of indentation test



Y. Takagishi *et al.*, Battery Symposium Japan (2017). 23

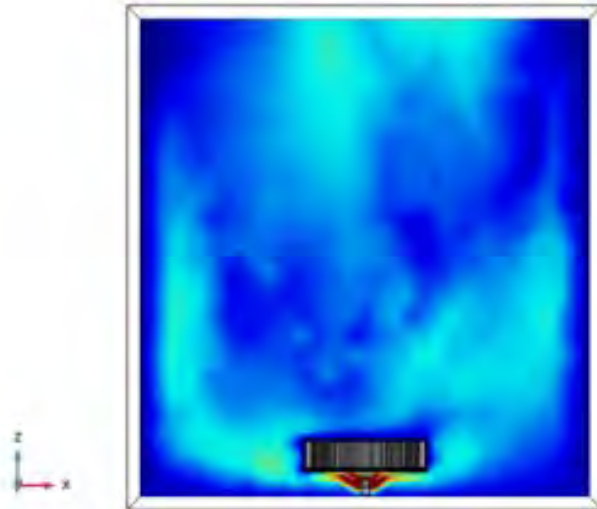


## Burning test of 18650 module

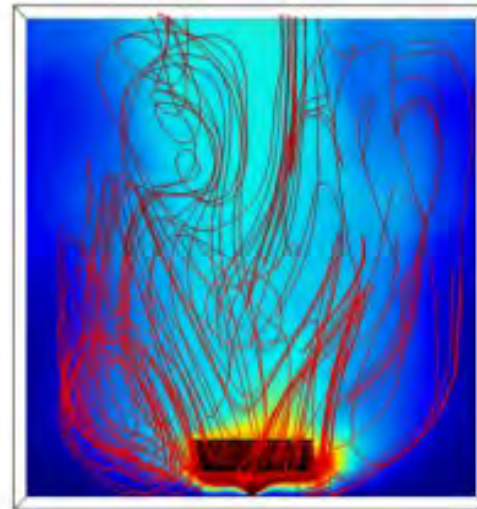


## Burning test of 18650 module

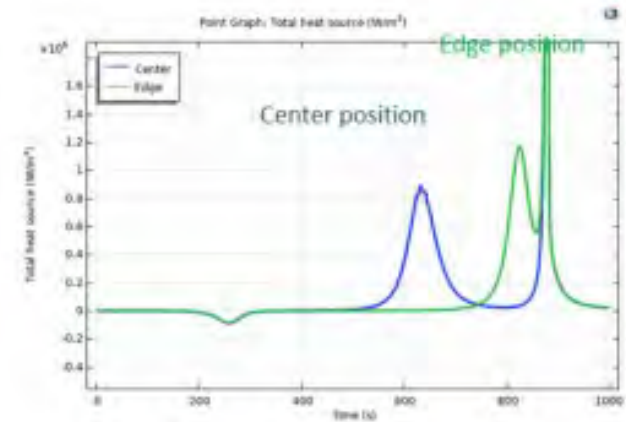
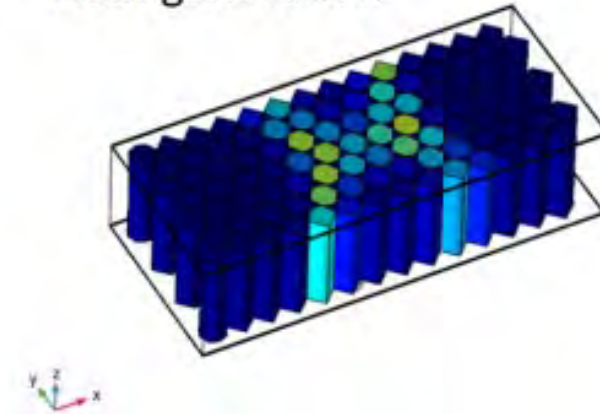
Gas flow



Temperature



Heat generation



Y. Takagishi *et al.*, Battery Symposium Japan (2020).

- Equivalent Circuit Model for Battery Management System
- Physico-chemical Simulation using FIB-SEM image
- Battery Safety Simulations, Nail penetration test, Burning test
- Battery Degradation Simulation
- Machine Learning, Deep Learning

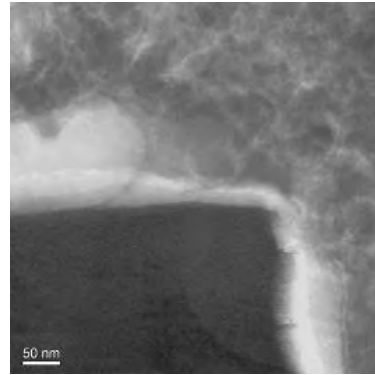
## Degradation mechanism of Li-ion battery



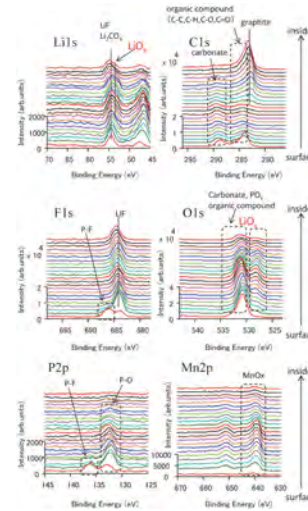
電極合剤



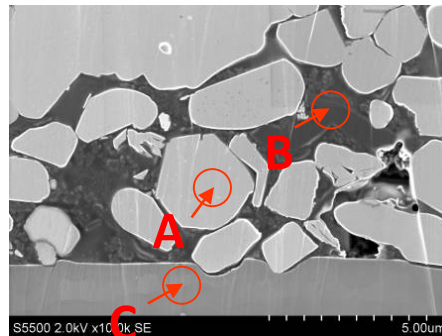
SEI thin layer growth on graphite particles



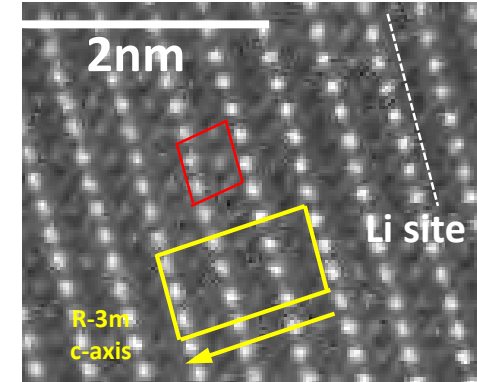
Two kinds of SEI (organic / inorganic)



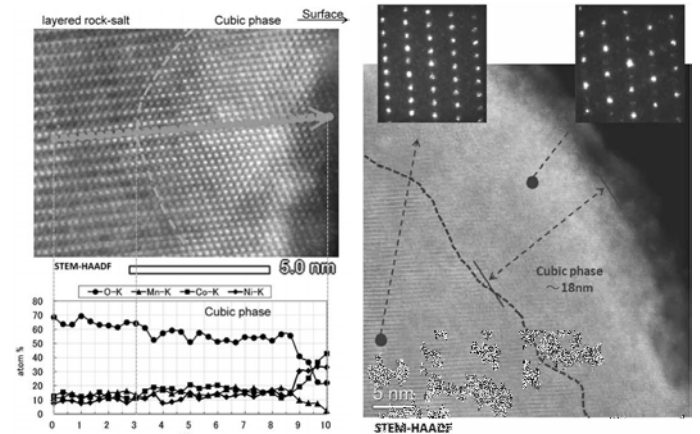
Electrode exfoliation



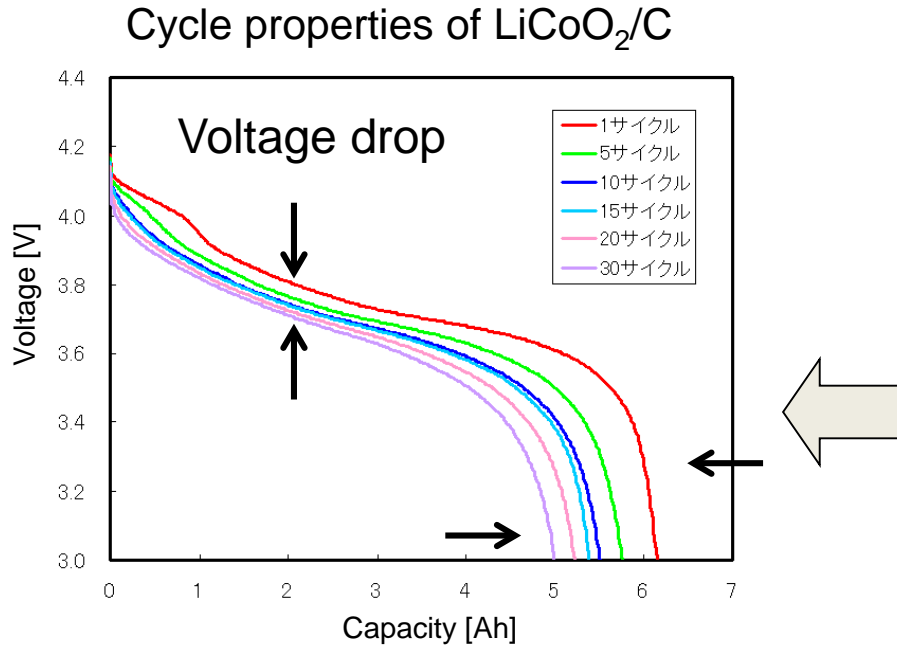
Phase transition on the surface of NMC particles



SEGI *et al.*, Battery Symposium (Osaka, 2013)



## Degradation in charge/discharge cycling

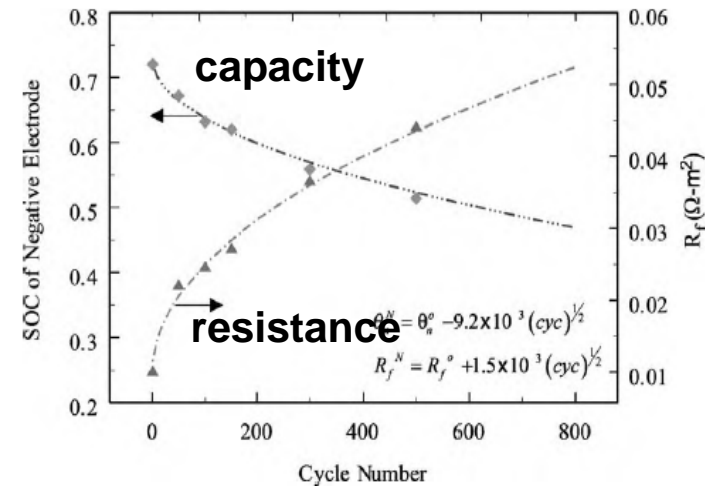


Tsubota, et.al., Battery Symposium. (Tokyo, 2011)

### Empirical $\sqrt{t}$ - law

resistance  $R = R^0 + k_1 (cycle)^{1/2}$

capacity(SOC)  $\theta = \theta^0 - k_2 (cycle)^{1/2}$



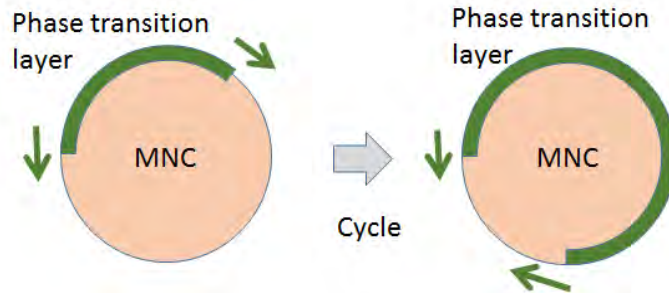
\*P. Ramadass *et al.*, Journal of Power Source **123** (2003) 230.

Mechanics of capacity fade and resistance increase is a blackbox



## Physics-based degradation model

### Growth of cubic layer on positive AP

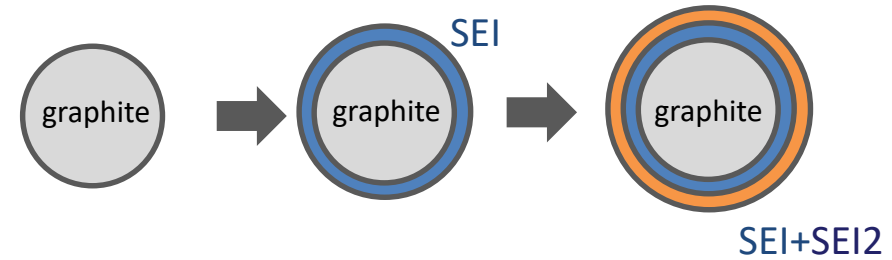


Coverage  $\theta_p$ :

$$\dot{\theta}_p = k(1 - \theta_p)$$



### Growth of two kinds of SEI on AP



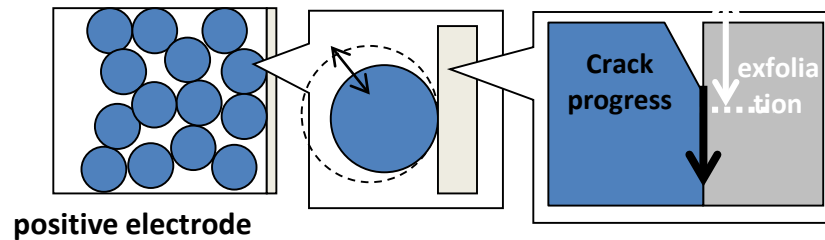
Growth rate  $d\delta/dt$ : Tafel model

$$\dot{\delta} = \frac{M\rho}{F} J_s$$

$$J_s = \underline{i_{0S}} a^{0.5} F \eta_s / RT$$

$$\eta_s = \eta_{s,0} - R_{sei} J$$

### Electrode exfoliation



### Crack progress model : Paris-law

$$\frac{da}{dn} = C_0 \Delta K^m$$

$$R = R_0 \frac{a_0}{a_0 - a}$$

The range of fluctuation of a stress intensity factor

$$\Delta K = Y \Delta S \sqrt{\pi a}$$

Y: material constant

$\Delta S$ : stress fluctuation range

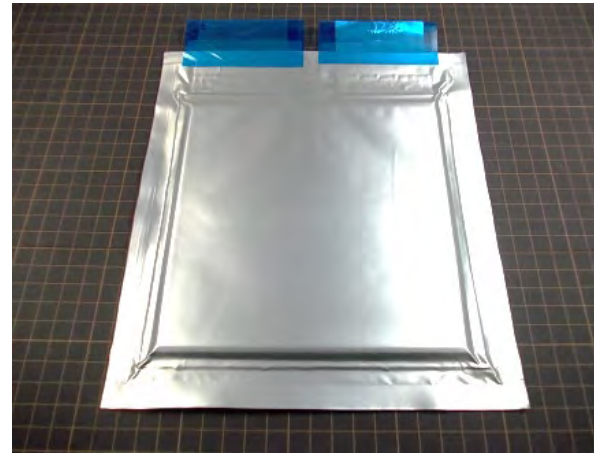
\*\*Capacity is not decreased in this process.

Methodology: **Experimental**

For comparison with simulation, we have also performed charge-discharge cycling test.

Test battery (400[mAh])

- Positive electrode: NMC ( $\text{LiNi}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$ )
- Negative electrode: Graphite
- Electrolyte: 1M  $\text{LiPF}_6$  / EC:DEC=1:1
- Thickness:
  - Positive electrode 50 [ $\mu\text{m}$ ]
  - Negative electrode 50 [ $\mu\text{m}$ ]
  - Separator 25 [ $\mu\text{m}$ ]

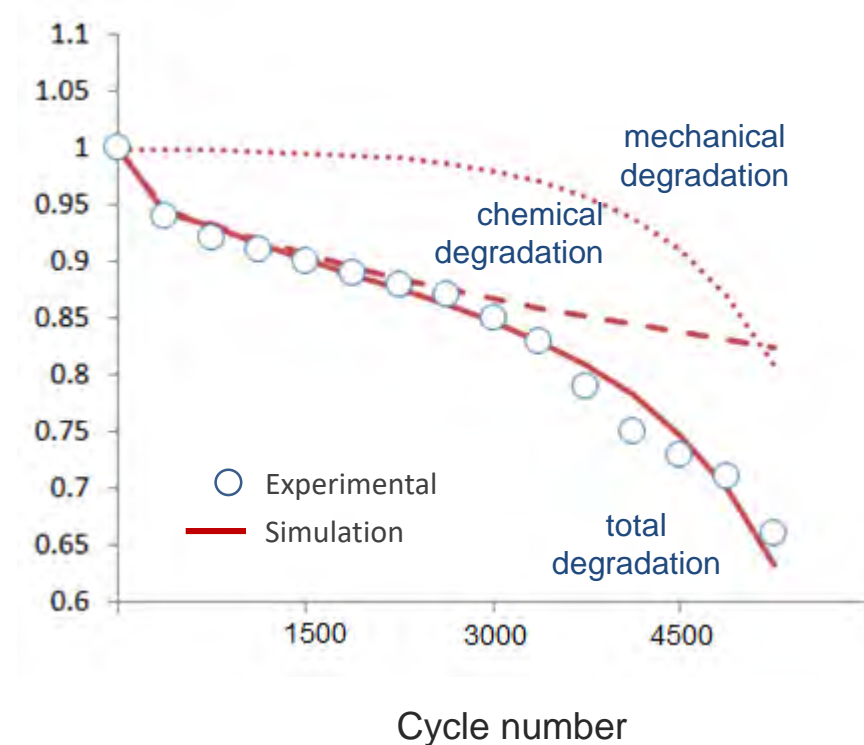
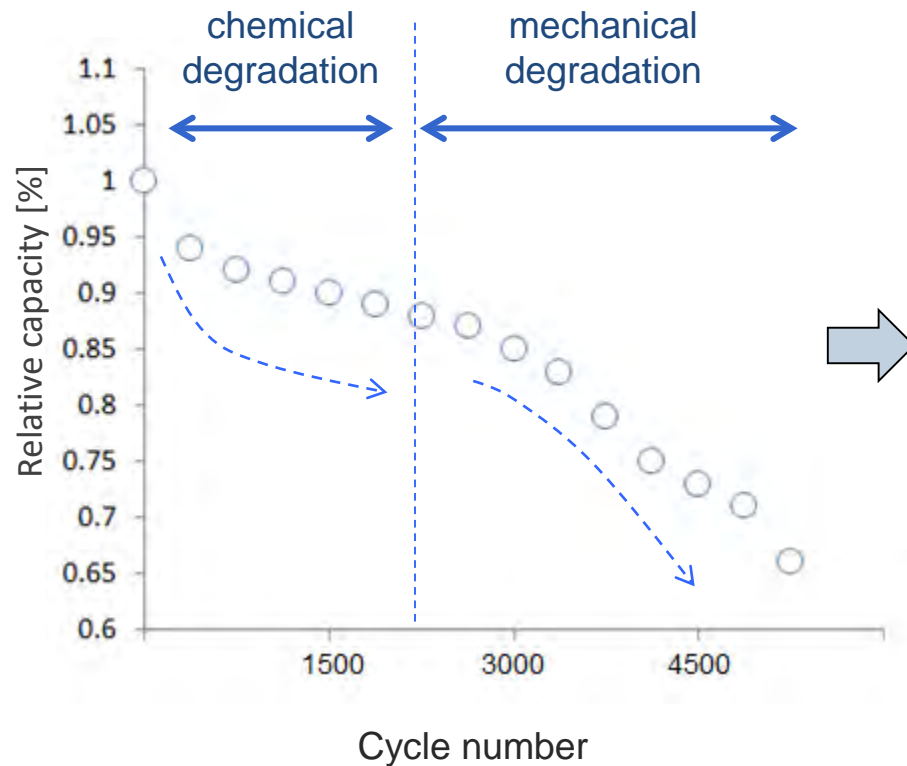


Cycle conditions

- Charge/discharge rate: **2C** (CC-CV)
- Rest: 10 [min]
- Maximum cycle number: **6100**
- Voltage range: 4.2 [V] - 2.7 [V]
- Temperature: **25 [degC]**

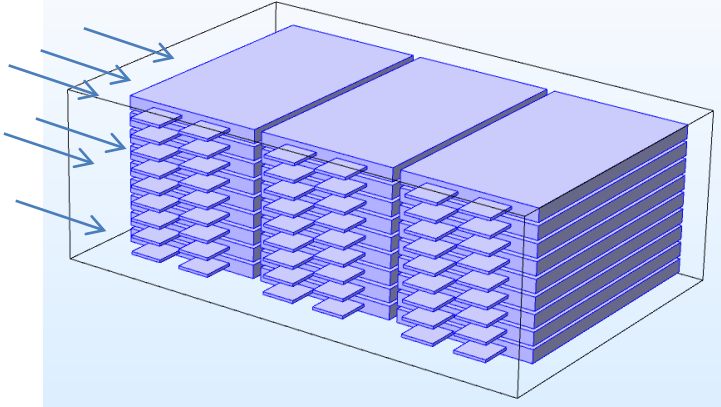
## Physics-based degradation model

### Comparison of capacity degradation between simulation and experiment



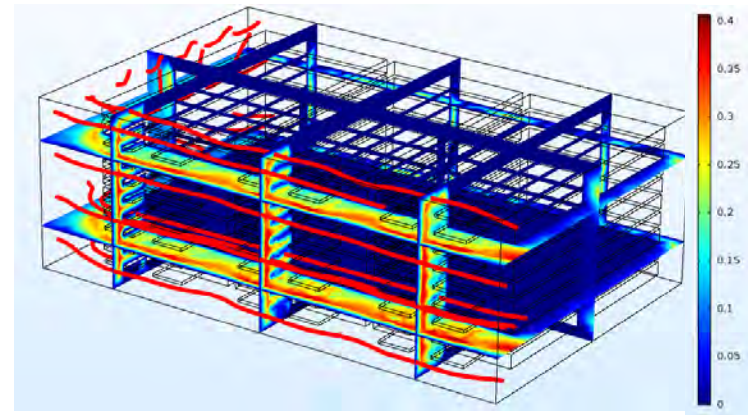
## Battery module degradation

Air flow

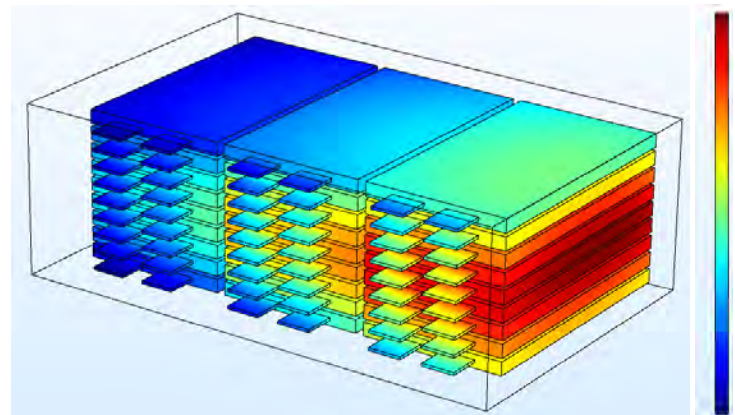


Laminated test battery (electrode: 50[cm<sup>2</sup>])  
9S3P module with air cooling

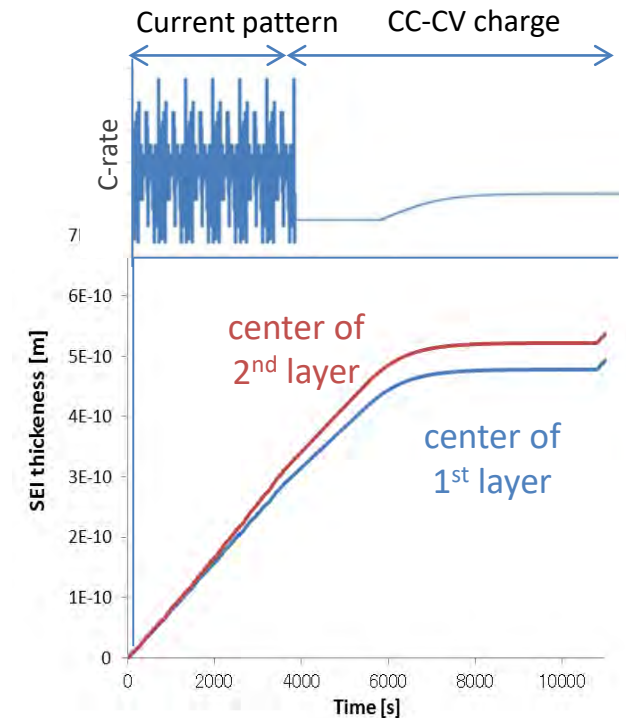
Flow velocity



Surface temperature



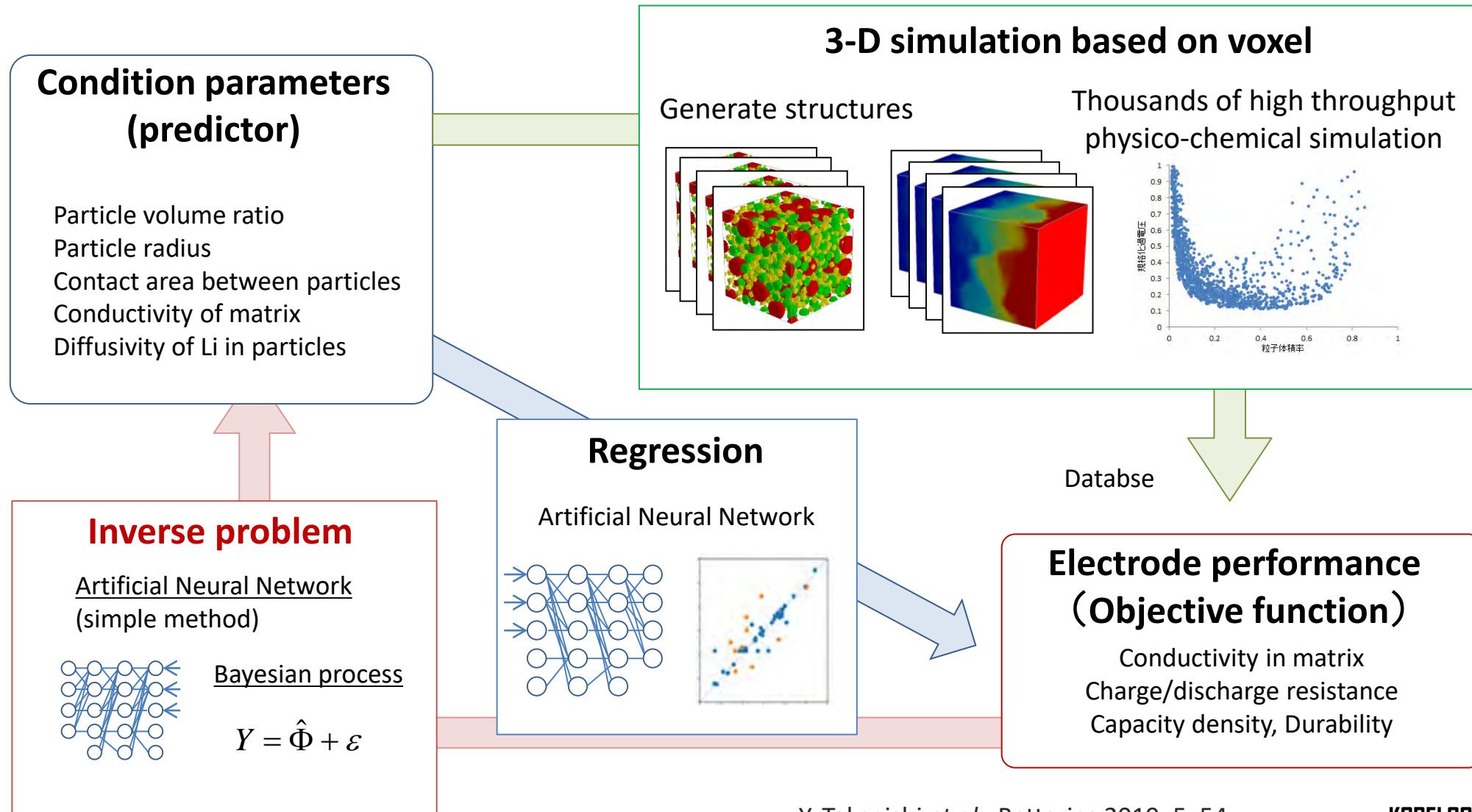
Difference of SEI thickness  
in battery module





- Equivalent Circuit Model for Battery Management System
- Physico-chemical Simulation using FIB-SEM image
- Battery Safety Simulations, Nail penetration test, Burning test
- Battery Degradation Simulation
- Machine Learning, Deep Learning

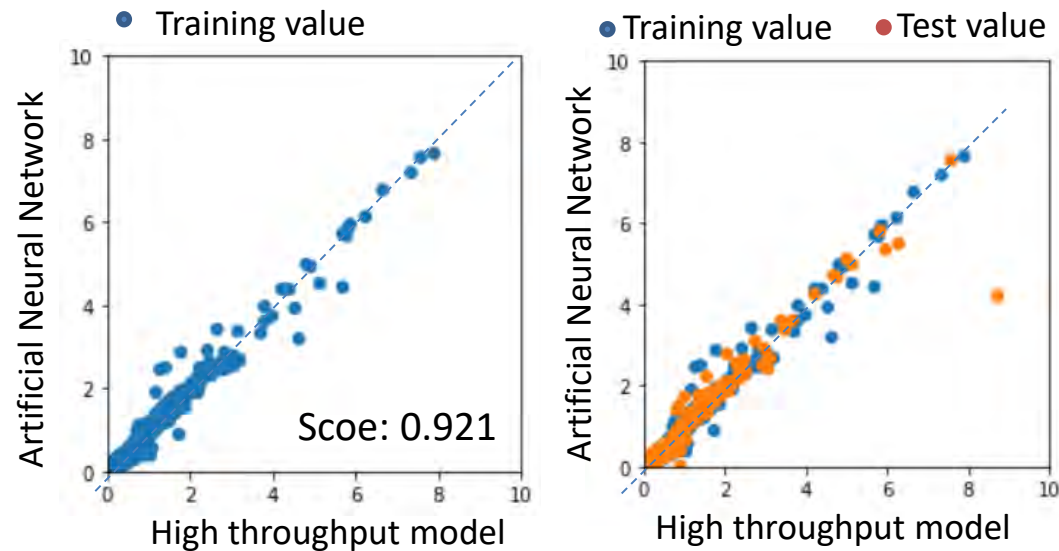
## Machine Learning for Designing Meso-scale Structure of Porous electrode



## Machine Learning for Designing Meso-scale Structure of Porous electrode

Artificial Neural Network regression was employed for total resistance of electrode using condition parameters.

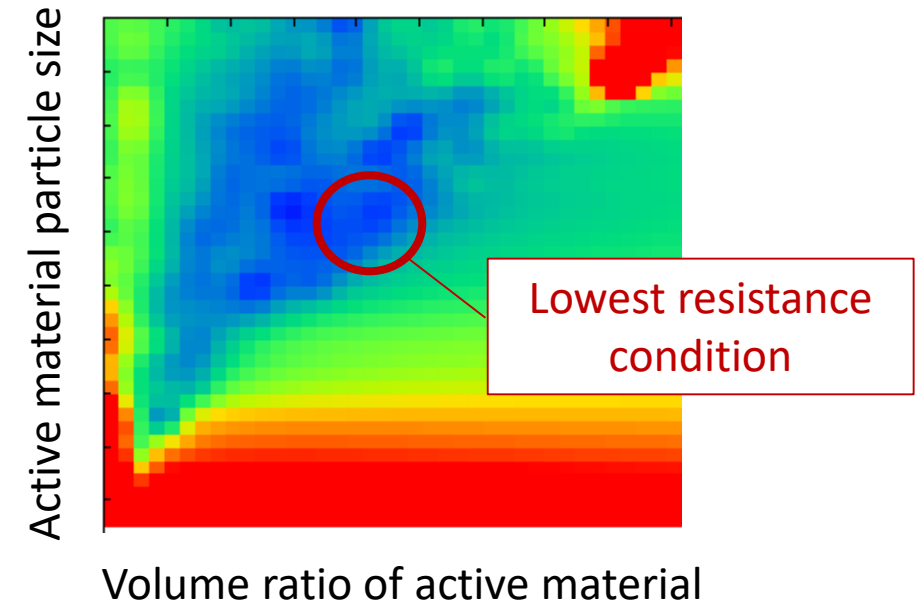
### Regression of **total resistance**



Number of hidden layers: 3  
Activation function: relu

Number of neurons: 20 in each layer  
Learning coefficient: 0.001

### Total resistance map

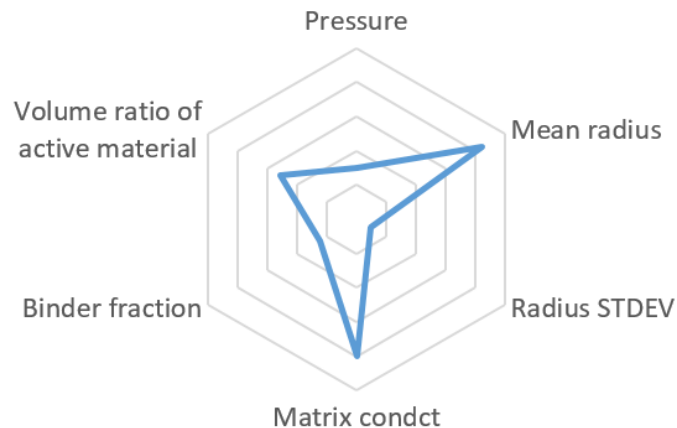
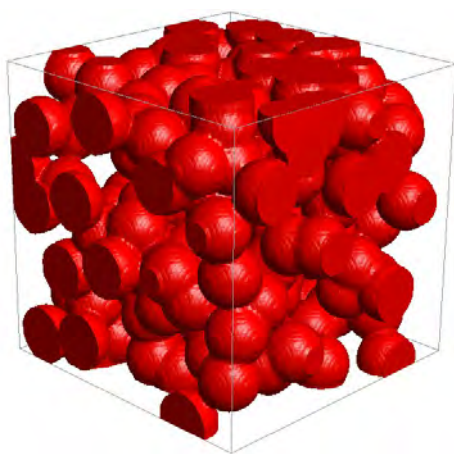


## Machine Learning for Designing Meso-scale Structure of Porous electrode

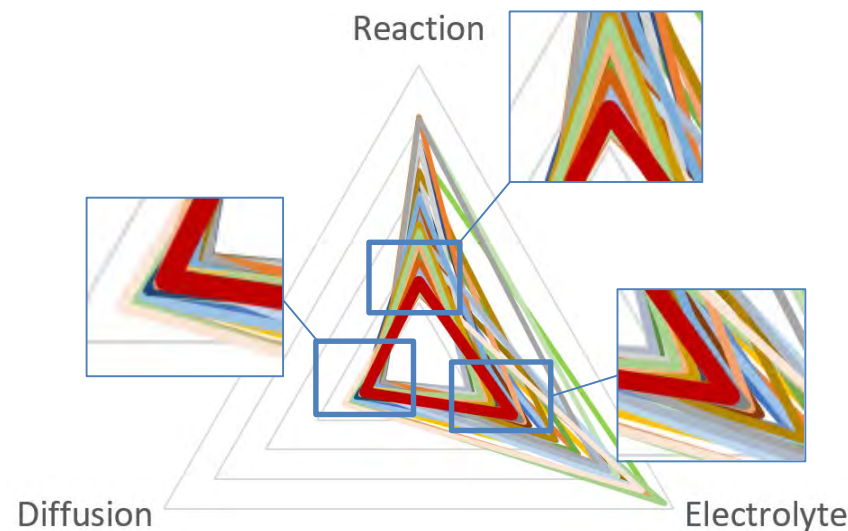
The best condition parameters were determined by Bayesian optimization.

Optimum structure to reduce **total resistance**

**Each resistance of optimum structure**



Moderate particle radius and volume ratio of active material, lower pressure...







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